### GIGA ANNUAL REPORT 2021





## GIGA ANNUAL REPORT 2021

#### **PREFACE**



#### Dear readers

Last year was an unusual year for us: we were kept busy with our evaluation, which is carried out by the Leibniz Association for each of its member institutes regularly every seven years. As those who have been subject to such procedures (including the REF in the United Kingdom) know all too well, these exercises tend to be labour-intensive and time-consuming. We were not helped in this endeavour by the persistent pandemic, which continued to wreak havoc across countries and affected our daily lives. But it is in tough times like these that people often show their true worth. Team GIGA rose remarkably to the occasion. With camaraderie, cheer and courage, we blazed on at full steam. And what we undertook last year has turned out to be considerably bigger – and more joyful – than even the most glowing evaluation result could be.

As we prepared for the evaluation together, we seized the opportunity to engage in not only the box-ticking tasks that such exercises demand, but to reflect on our trajectory since the last seven years. My colleagues and I were delighted to note that we had not only met, but sometimes even surpassed the goals that we had set ourselves. We are proud of what we have achieved. Now seems like an apt moment to acknowledge and thank our supporters and well-wishers (especially our Academic Advisory Board, Board of Trustees, funding ministries, and international partners).

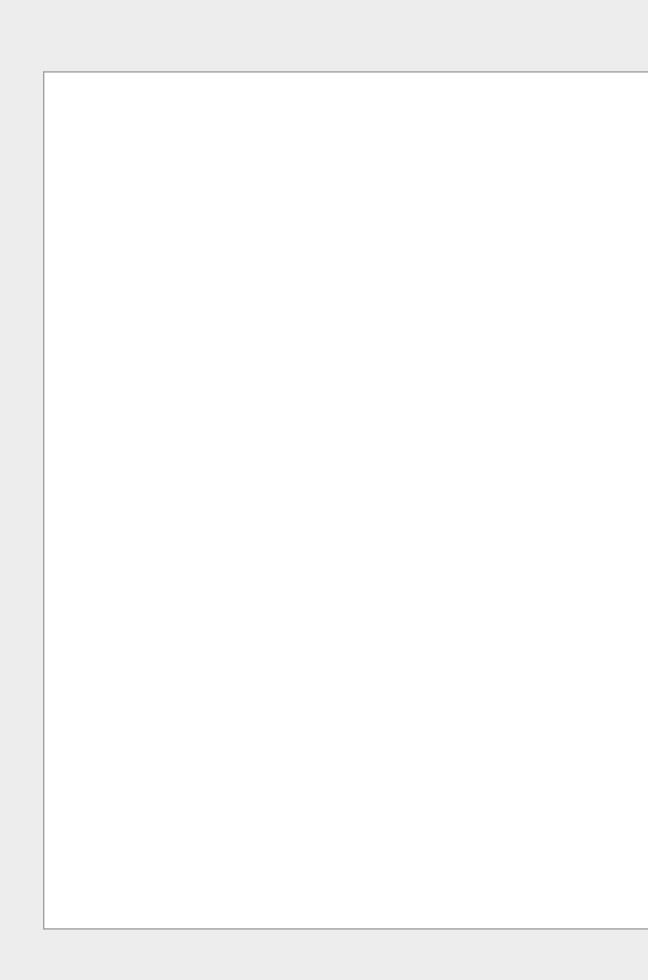
The next pages offer you some illustrations of how the institute has evolved in this evaluation cycle. We hope you will enjoy reading about some of our successes, at least as much as we enjoyed working towards them!

Yours sincerely,
Amrita Narlikar
Prof. Dr. Amrita Narlikar
President of the GIGA

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MESSAGE FROM THE PRESIDENT & HIGHLIGHTS 2021

#### MESSAGE FROM THE PRESIDENT

There are many ways to evaluate progress. Sometimes, the toughest challenge one can pose is to compete with one's own self, especially if one already has a strong record. In the GIGA's last evaluation (conducted in 2014 by the Leibniz Association), the Institute was rated as "very good and, in part, excellent". The year 2014 thus makes for a solid reference point. It is with pleasure that I can report that in the latest evaluation cycle (as well as in the following year, 2021), on all key indicators – publications, third-party funding, prizes, and outreach – the Institute raised the bar to a new high. These developments are illustrated in the graphs below, with brief explanations, followed by an overview of the institutional processes that we deliberately established to enable this trajectory since 2014.

#### **PUBLICATIONS**

Our publication record was already judged as "very good" in 2014. I am happy to report that Team GIGA improved this already impressive record by 2021 across a variety of indicators. Bibliometrics tell a part of the story that we are proud to own: the average impact factor of the journals, which GIGA researchers now publish in, has more than doubled, and the proportion of articles published in "A-journals" (i.e., high-ranking journals) has increased from 20 to 34 per cent. This qualitative increase has been accompanied by an increasing overall number of publications in peer-reviewed journals. Around three-quarters of all peer-reviewed articles by GIGA scholars are published in journals which are listed in the Journal Citation Reports (mainly the Social Sciences Citation Index). Our target journals have come to include some of the highest-impact journals in the fields of Political Science, Peace and Conflict Studies, Public Policy, Development Economics, International Relations, and Comparative Politics. The graphs below illustrate some of these exciting developments.

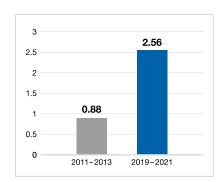


Fig. 1: Peer-reviewed Articles – Impact Factor

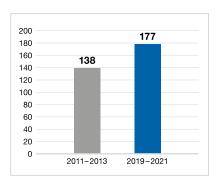


Fig. 2: Peer-reviewed Articles - Numbers

# American Journal of Political Science International Affairs European Journal of Political Research Journal of Peace Research World Development International Studies Quarterly Political Geography Journal of European Public Policy Journal of Conflict Resolution British Journal of Political Science

But there is even more to this story. Since 2014, we have consciously communicated our research results to different audiences, using a range of publication formats: international disciplinary journals, area studies journals, books with renowned university presses, foreign-language outlets in the regions we work with, blogs, and data publications. Additionally, the GIGA edits, in cooperation with international partners, the four platinum open-access peer-reviewed journals of the GIGA Journal Family. In 2019, to help increase visibility and ensure open access, we moved our journals to the international publishing house SAGE; the journals are members of the Committee on Publication Ethics (COPE). To provide you with a fuller sense of the rich variety and range of publications that researchers – at different career stages – have produced at the GIGA, we invite you to explore on our website: www.giga-hamburg.de/en/publications.

This quality-oriented publication strategy has also generated exciting results in relation to book publications. The GIGA had seen only one book published with a university press in the evaluation cycle leading up to 2014. In recent years, we have seen a ninefold increase on this front, with some of the top university publishing houses (including Cambridge University Press and Oxford University Press) carrying our scholarship.

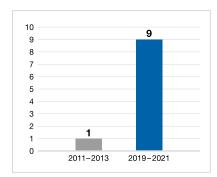
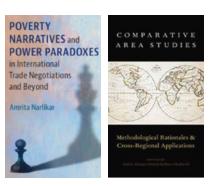


Fig. 3: Books - University Presses



Left: Narlikar (2020), CUP Right: Ahram/Köllner/Sil (eds) (2018), OUP

## Cambridge University Press Oxford University Press Manchester University Press SUNY Press Routledge Lynne Rienner Publishers Amsterdam University Press University of Pennsylvania Press Palgrave Macmillan Brill Springer

Importantly, while significantly increasing our publications in what the mainstream would regard as "top-notch" outlets, we have intentionally also expanded our publication activities in the world regions that we work with. This involves collaborations with leading think-tanks and research institutes, which result in authoring/co-authoring of papers, book chapters, op-eds, and policy briefs. Our cherished and close engagement with excellent partners from the Global South has also resulted in our works being written in or translated into a much wider spectrum of languages than ever before: not only Spanish, French, Portuguese, Arabic, and Chinese as was the case earlier, but now also Hindi, Marathi, Bangla, and Turkish. Additionally – and in keeping with a long-standing GIGA advantage –, our scholars regularly targeted the major area-studies journals.

#### THIRD-PARTY FUNDING

Revenues from project grants, which were described as "high" at the time of the last evaluation 2014, have further increased in recent years. Third-party funding reached an all-time high in 2019 (totalling over EUR 3.47 million). I am now pleased to report that 2021 set the record even higher, with EUR 4.19 million of third-party inflows, representing 30 per cent of the GIGA's overall funding.

This third-party funding enabled us to further expand our research portfolio with innovative new projects. The GIGA conducts unique social-science research on developments in Africa, Asia, Latin America, and the Middle East, as well as on global issues. In four thematic research programmes our researchers deal with some of the key questions of our time: How can we counter authoritarian tendencies and support democracy? How can peace and security be achieved, and violent conflict overcome? How can inclusive and sustainable development be fostered? How do changing regional and global orders influence policymaking and impact on problem-solving capacities?

Via over 60 competitively-funded research projects we shed light, for example, on the effects of international sanctions. The expertise of our researchers on this topic was already in high demand before the Russian invasion of Ukraine, and has increased dramatically now. Drawing on our signature Global Approach to scholarship, we show why it is important to take into account the differentiated responses of large and small players from the world regions, if the "West" is to have a serious chance of developing a strong international response. The importance of different perspectives from the Global South is also borne out in our studies on multilateralism, global supply chains, pandemic responses, digital transformation, climate change, and other major challenges.

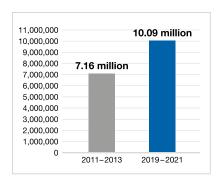


Fig. 4: Third-party Funding

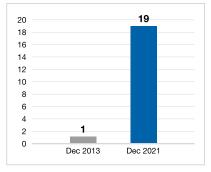


Fig. 5: Awards and Prizes

#### **AWARDS & PRIZES**

The Institute's pioneering research agenda, and its academic and policy impact, have not gone unnoticed internationally. GIGA scholars have received an unprecedented number of prestigious awards in recent years; since the last evaluation, this represents a 19-fold increase. These prizes attest to the GIGA's international reputation when it comes to innovation in research and state-of-the-art methodology.

#### PRIZES FOR PUBLICATIONS

- Nils Petter Gleditsch JPR Article of the Year Award 2015
- Founders Award of the APSA Presidents and Executive Politics section 2015
- Harrison Prize 2018. Political Studies Association
- International Geneva Award 2019
- IMISCOE Rinus Penninx Best Paper Award 2019
- John Urry Memorial Prize 2020
- Best Article Award 2020, LASA Labor Studies Section
- Frank Cass Prize 2020, Best Article by Junior Scholar

#### PRIZES FOR DATA SETS

• Lijphart/Przeworski/Verba Data Set Award 2017, APSA Comparative Politics section

#### PRIZES FOR DISSERTATIONS

- Leibniz Dissertation Award 2017
- Deutscher Studienpreis 2017
- Dissertationspreis 2017, DAVO (German Middle East Studies Association)
- Junior-researcher prize for excellent, practice-relevant development research 2018, KfW/German Economic Association
- Doctoral Prize 2018, University of Greifswald
- Christiane Rajewsky Prize 2019, German Association for Peace and Conflict Studies
- Arnold-Bergstraesser-Dissertation Prize 2019
- Leibniz Dissertation Award 2019
- Syrian Studies Association Dissertation Prize 2020
- Aquila ascendens Young Scientists Award for Security Policy (2nd) 2021

#### **OUTREACH**

Building on its research, the GIGA has also systematically expanded its national and international outreach. Our knowledge exchange was described as "convincing" and "important, valuable and much sought-after" in 2014. At that time, this mainly involved exchanges at the German federal level and in Hamburg. Since then, we have further deepened our exchange within Germany, which ranges from invitations to participate in ministerial delegations, to personal briefings given to leading officials, the development of strategy papers, workshops with local stakeholders and NGOs, keynote speeches at prominent events, secondments of staff (especially to the FFO), facilitation of exchange through the GIGA's flagship events, and exclusive expert reviews. Importantly though, we have systematically also expanded our international outreach in recent years. This has involved high-level interactions with UN Security Council and other UN organisations, World Economic Forum, World Trade Organization (WTO), Munich Security Conference (MSC), Raisina Dialogue, European Commission, World Bank, as well as with state and non-state actors in the Global South.

My colleagues and I, for example, have worked with the World Bank and national partners on governance mechanisms in Burkina Faso and education reforms in Indonesia, provided expertise to the Food and Agriculture Organization (FAO) on food security in the MENA region, shared knowledge on presidential term limits and impeachments in Latin America, served on an MSC distinguished reflection group on Transatlantic Relations on China, and engaged with the WTO's Trade Policy Review Division. To reach out to and engage with our diverse target groups, we use not only textual formats and (online and in-person) events, but also nontextual formats such as videos (e.g. of our Berlin Talks), audio recordings (e.g. on constitutional reform in Chile), research comics (e.g. on rural transformations in Africa), labs (e.g. Körber–GIGA Multilateralism Lab) and data visualisations (e.g. for the Land Matrix project).

OUTREACH, UNTIL 2014,	OUTREACH, UNTIL 2021,
MAIN TARGET GROUPS	MAIN TARGET GROUPS
<ul> <li>Federal Foreign Office (FFO)</li> <li>Federal Ministry for Economic Cooperation and Development (BMZ)</li> <li>Bundestag</li> <li>Berlin policy community</li> <li>Bertelsmann Foundation (BTI)</li> <li>Hamburg Senate</li> <li>Hamburg general public</li> </ul>	<ul> <li>Federal Foreign Office (FFO)</li> <li>Federal Ministry for Economic Cooperation and Development (BMZ)</li> <li>Bundestag</li> <li>Berlin policy community</li> <li>Bertelsmann Foundation (BTI)</li> <li>Hamburg Senate</li> <li>Hamburg general public</li> <li>Further federal ministries (defence, education, agriculture)</li> <li>Office of the Federal President</li> <li>Further foundations (e.g. Körber, EU-LAC, Bruegel, Brookings)</li> <li>UN Security Council</li> <li>Further UN Organisations (FAO, ECLAC)</li> <li>EuropeAid</li> <li>European Commission</li> <li>World Bank</li> <li>World Economic Forum (WEF)</li> <li>Munich Security Conference (MSC)</li> <li>World Trade Organization (WTO)</li> </ul>

Our international networking and event strategy has resulted in lectures and interactive sessions with top-level scholars and practitioners. These include, for example, NATO Secretary General Stoltenberg, then Foreign Minister Steinmeier, Head of Afrobarometer Gyimah-Boadi, as well as leading academics from Columbia, JNU Delhi, UCI, Cornell, NYU, Cambridge, NUS Singapore. The GIGA's events are usually booked out (maximum seating: 100 persons); the Institute harnessed the opportunities arising

with digital communication formats to dramatically increase its global reach and visibility, with up to 400 specialists, as well as members of the interested public from around the world participating (for an overview of the GIGA's events, see: www.giga-hamburg.de/en/events/).

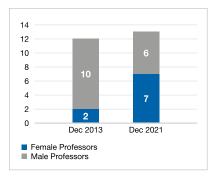
#### **INSTITUTIONAL PROCESSES**

These successes in terms of publications, third-party funding, prizes, and outreach reflect the dynamism and commitment of Team GIGA. To enable individuals to fulfil their potential in many different ways, we have deliberatively and systematically developed our institutional processes in recent years. For instance, the GIGA's research agenda is carefully developed in tandem via strategic leadership from the Research Council and bottom-up inputs at multiple stages of the process. Researchers have the opportunity to exchange ideas in regular meetings of project teams, research teams, research programmes, and regional institutes; open Research Council meetings are a further institutional innovation to enable transparent and inclusive exchange. The GIGA has further established - in line with, and also going beyond, the relevant Leibniz and DFG standards - the following: Guidelines to Ensure Good Academic Practice and the related ombudspersons, Guidelines on Research Ethics, Guidelines for the Handling of Research Data (including commitment to FAIR data principles), Open Access Guidelines, Open Access Fund, a data protection officer and an IT security officer, dedicated quality assurance process for its projects and publications, and monitoring of key performance indicators for research, outreach, and services via its Programme Budget. The dramatic jump that the GIGA has made on the quantitative indicators of research excellence and impact is, in large measure, a result of the importance that we have attached to these internal quality assurance processes.

#### **EQUAL OPPORTUNITY & DIVERSITY**

The GIGA has made major strides in recent years when it comes to internationalisation, equal opportunity, and diversity. I was invited to come from the University of Cambridge to take up the GIGA Presidency in 2014. I continue to collaborate internationally and am Honorary Fellow of Darwin College (University of Cambridge), non-resident Senior Fellow at the Observer Research Foundation (ORF), and non-resident Distinguished Fellow of the Australia India Institute (University of Melbourne). Two of the GIGA's four regional directors were brought in from international institutions and we also increased internationalisation, equal opportunity, and diversity at post-doctoral and doctoral levels. While in 2014 only two out of then

12 professorships of the GIGA were held by women, parity has now been achieved with seven (out of 13) female professorships – one of them funded by the Leibniz Programme for Women Professors. The annual review of staffing also shows a clear increase in the proportion of women at both leadership level 1 (GIGA Executive Board) and leadership level 2 (GIGA Research Council).



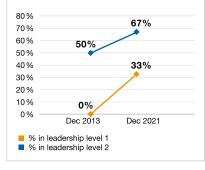


Fig. 6: Professorships

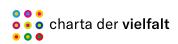
Fig. 7: Women in Leadership

The manifold perspectives and experiences among our staff, together with their different skills and talents, shape our diversity at the GIGA – attributes which we fully recognise, value, and harness to facilitate a rich and vibrant institutional culture. In 2021, the Institute signed the "Diversity Charta" ("Charta der Vielfalt") – a corporate initiative to promote diversity in companies and institutions. With regards to external certification, the Institute received the Hamburg Family Seal in 2013 (which was continuously renewed in 2015, 2018, and 2022). For the first time, the GIGA was also awarded the TOTAL E-QUALITY certificate in 2020. We are happy to note that the latest annual survey of the Leibniz Association on equal opportunity attests to the GIGA ranking significantly better than the median of the Leibniz institutes (maximum points: 68; GIGA: 58; median: 49.5).









The time-consuming preparations for the evaluation in 2021 did not deter our team from adding further feathers to the GIGA's cap. In the next two pages, I share with you some highlights of the last year. As usual, you will also find detailed overviews on the work of our regional institutes, research programmes, and service departments in this Annual Report.

Team GIGA is looking forward to breaking new ground, together with its international partners, supporters, and our many well-wishers, in the years to come.

#### HIGHLIGHTS 2021

#### RECOGNITIONS: MARIANA LLANOS & AMRITA NARLIKAR

Photo left: Prof. Dr. Marianna Llanos

Photo right: Prof. Dr. Amrita Narlikar



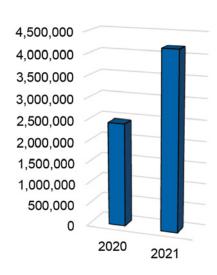


GIGA scholar Mariana Llanos was appointed as extraordinary professor for "Democratic Institutions in the Global South" at the University of Erfurt, funded by the prestigious Leibniz Programme for Women Professors. GIGA President Amrita Narlikar was elected to an Honorary Fellowship at Darwin College, University of Cambridge, in recognition of her outstanding contribution to the global understanding and development of international relations.

www.giga-hamburg.de/de/team/11565263-llanos-mariana www.giga-hamburg.de/en/team/11564901-narlikar-amrita

#### NEW ALL-TIME HIGH IN GRANT ACQUISITIONS

Third-party funding acquired by the GIGA, 2020-2021 (in €)



In 2021, the GIGA won the highest amount of third-party funding in its history. A total EUR 4,189,905 was brought in – 30.4 per cent of overall revenue. Among the four regional institutes, the GIGA Institute for African Affairs was again particularly successful in acquiring project grants and started several new and innovative research endeavours. The GIGA was especially successful in highly competitive funding schemes, for example regarding EU and DFG grants.

www.giga-hamburg.de/en/projects

#### ASMA KHALIFA SPEAKS AT UN SECURITY COUNCIL



At a meeting of the United Nations Security Council, GIGA Doctoral Researcher Asma Khalifa briefed delegates on the situation in Libya. She had been invited to the Council's 8855th session, which was dedicated to Libya in the face of the ongoing instability there. Khalifa addressed the poor infrastructure in the country, the lack of inclusion of diverse ethnic populations in the constitutional process, and the systematic violence against human rights defenders and other groups who have been disproportionately affected by years of conflict.

www.giga-hamburg.de/en/team/11559826-khalifa-asma

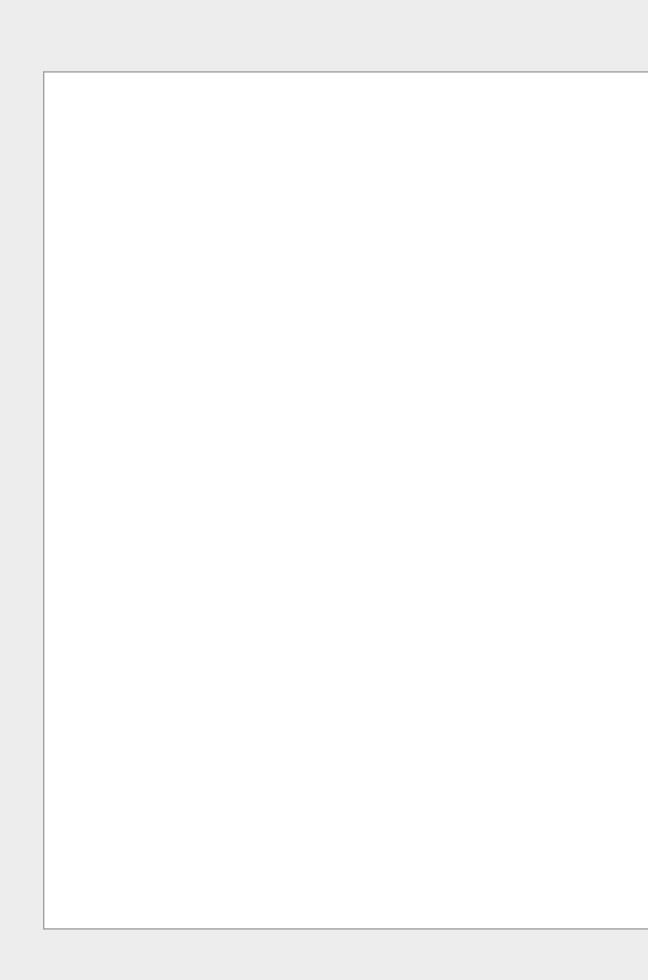
#### SOUTHEAST ASIA JOURNAL INDEXED IN SSCI



The GIGA's Journal of Current Southeast Asian Affairs has been accepted for the Web of Science (WoS). Articles published since 2019 will be listed under the Social Sciences Citation Index (SSCI) and other WoS indices. The inclusion in the database distinguishes the periodical as a high-quality and influential journal. After Africa Spectrum, the Journal of Current Southeast Asian Affairs is now our second journal to be selected for the SSCI.

www.giga-hamburg.de/en/publications/journal-family

HIGHLIGHTS 2021



2	
RESEARCH	
*	

#### GIGA INSTITUTE FOR AFRICAN AFFAIRS





Prof. Dr. Matthias Basedau

In the pandemic's second year, Sub-Saharan Africa continued to be less affected than expected. However, being dependent on the dynamics of the world economy, related effects hit hard nevertheless, intensified by lockdowns. Vaccination campaigns were sluggish, not least because Western countries reserved most available doses for themselves.

The year 2021 proved politically turbulent, partly fuelled by but mostly independently of the pandemic. It saw military coups in Guinea, Mali, and Sudan. In Chad, President Idriss Déby died on the battlefield and his son was installed as the new president and not the speaker of parliament as provided for by the Constitution. Observers have started to see a new trend – although the military had never ceased to be important in politics (as a GIGA Focus in 2020 forewarned). Jihadist violence spans from the Western Sahel through Central Africa to East Africa, and has infected Southern Africa too. Jihadist

attacks were reported in previously unaffected countries like Benin and Côte d'Ivoire. International interventions have failed to stabilise the affected countries. Instead, France announced a partial withdrawal, triggering the Malian government to seek support from the notorious Russian "Wagner group." To make matters worse, jihadism is often intertwined with ethnic violence, especially in the Sahel. Ethnicity is also a driver of separatism in Cameroon, Ethiopia, and Nigeria. Ethiopia finds itself in a protracted conflict with the Tigray region. Rebels advanced close to the capital, but were decisively pushed back by the end of the year.

Violent anti-government protest has increased in recent years, notably in Eswatini and South Africa in the last 12 months. While the former may herald the demise of Africa's last absolute monarch, South Africa represents a show-case democracy becoming more fragile: when former president Jacob Zuma was arrested on

corruption charges, protests quickly lapsed into massive looting, leaving more than 300 people dead – an unprecedented outbreak of violence in the post-Apartheid era.

Some events were more encouraging: In Gambia and Zambia, successful democratic elections were held. The governments continued to prepare steps for a freetrade zone in Africa, which may boost prosperity. Trying to come to terms with the colonial past, the German Federal Government vowed to return stolen art from Benin City and offered a compensation deal with the descendants of Herero and Nama victims of the 1904/5 genocide in Namibia - the German offer, however, received a lukewarm reception at best.

The GIGA Institute for African Affairs (IAA) reacted to most of these events, basing policy advice on top-notch core research. The COVID-19 outbreak is considered in many projects; two of them directly investigate the pandemic's impact, especially on violence.

First results were presented, inter alia, to the Federal Foreign Office and published as a GIGA Working Paper. GIGA Focus issues dealt with the trust in government and protests against police brutality in Nigeria. Another policy brief on government legitimacy in fragile states addressed the root causes of the crisis in the Sahel and beyond. Two major research projects deal with the more productive side of religion. A Berlin Talk discussed the role of religious freedom with the Federal Government Commissioner for Global Freedom of Religion. A high-ranking panel on "religious peace" was organised at the Annual Meeting of the American Political Science Association in Seattle. The IAA addressed the issue of how to deal with the colonial past. A GIGA Forum in April on "Confronting Colonialism in Germany: Are We Doing Enough?" attracted a record number of registrants. Discussants demanded the German public take African grievances seriously.

#### BOOSTING COOPERATION WITH AFRICAN SCHOLARS



The IAA engages in several activities to intensify cooperation with African scholars. Africa Spectrum, co-edited with Berkeley University, organises biannual author workshops for young African scholars within the framework of the Maria Sibylla Merian Institute for Advanced Studies in Africa (MIASA). The MIASA initiative aims at deepening collabora-

tion with African scholars. The IAA also funded a young African scholar's survey on attitudes towards the economic effects of digitalisation in Ghana. A workshop in January 2021 sensitised IAA staff on how to deal with unconscious bias and racism during fieldwork. A GIGA Forum discussed whether we "are doing enough" in coping with Germany's colonial past.

#### GIGA INSTITUTE FOR ASIAN STUDIES





Prof. Dr. Patrick Köllner

Northeast, Southeast, and South Asia as well as Australasia remained in 2021 much affected by the COVID-19 pandemic and the slower-moving threat of climate change. In view of its Delta variant, all countries - with the notable exception of the People's Republic of China (PRC) – sought to contain rather than eradicate the virus. Even New Zealand and Taiwan, which both had previously tried to stamp it out, had to shift course. The pandemic notwithstanding, Japan managed to stage the postponed 2020 Summer Olympic Games. Further changes in dealing with SARS-CoV-2 were forced upon governments with the arrival of the easily transmissible Omicron variant in late 2021. Despite China's largely successful - if draconian measures to deal with the spread of the virus, polls indicated that people's views of the PRC continued to harden in 2021 in countries such as Australia, India, Japan, and the Republic of Korea. In Australia, for instance, more people saw the PRC in 2021 for the first

time as a security threat than as an economic opportunity.

The United States, under the new Joseph Biden administration, continued to regard China as a strategic competitor but put more emphasis on coordination with allies in Asia and beyond than had been the case under Donald Trump. After the withdrawal from Afghanistan, Washington is likely to focus even more on how to deal with China. The US and the United Kingdom announced that they would supply nuclear-powered submarines to Australia in the decades to come (AUKUS agreement). Taiwan, a potential flashpoint in US-China relations, was for the first time mentioned in a G7 statement. It also featured in the European Union's "Strategy for Cooperation in the Indo-Pacific" presented in September. Germany, which had published its own "Indo-Pacific Guidelines" in 2020, embarked on its first naval mission in Asia in over two decades, sending a frigate on a seven-month journey to the region.

GIGA Institute for Asian Studies (IAS) scholars addressed these and other developments in academic publications, briefing papers, as well as in policy exchange and outreach activities. Together with its French partner, the Center for International Studies (CERI) at SciencesPo Paris, the IAS launched the Franco-German Observatory of the Indo-Pacific, which organised a series of webinars. The work of the Observatory in 2021 culminated in a conference at the end of the year (see textbox).

The IAS also hosted a webinar series on advances in Comparative Area Studies, expected to lead to a new edited volume. IAS scholars published three co-edited volumes in 2021 on civil—military relations in comparative perspective, crisis narratives in Japan, and on diplomacy in the Indo-Pacific. Moreover, they published well over 20 articles in peer-reviewed journals such as Agricultural Economics, the Journal of Human

Resources, the Journal of Contemporary Asia, and The Pacific Review. They also continued to co-edit the Journal of Current Chinese Affairs and the Journal of Current Southeast Asian Affairs, the latter of which was accepted for inclusion in the Social Sciences Citation Index.

The year 2021 saw a record number of new research projects either getting underway or being approved by funders. These focus, inter alia, on collaboration with China in analytical research infrastructures (funded by the Federal Ministry of Education and Research, BMBF), Indonesia's COVID-19 vaccination drive (German Research Foundation, DFG), the monitoring of the Asia Pacific Research Area (BMBF), world order narratives of the Global South (BMBF), COVID-19 and executive personalisation (DFG), digital diplomacy and statecraft (Federal Foreign Office), and India's role in the Indo-Pacific (Thyssen Foundation).

#### FRANCO-GERMAN CONFERENCE ON THE INDO-PACIFIC



As part of its GIGA Research Platform Asia activities, the IAS joined hands with CERI in Paris in December to host a virtual two-day conference on the Indo-Pacific. The event involved scholars from seven countries. The conference's first day was devoted to foreign policy signalling in the Indo-Pacific. The second day focused on

European strategies towards the Indo-Pacific and China. An additional Track 1.5 workshop brought together officials and scholars from France, Germany, and the EU to discuss the implementation and perspectives of Indo-Pacific strategies. See <a href="https://www.giga-hamburg.de/en/research-and-transfer/topics/indo-pacific">www.giga-hamburg.de/en/research-and-transfer/topics/indo-pacific</a>

#### GIGA INSTITUTE FOR LATIN AMERICAN STUDIES





Prof. Dr. Merike Blofield

COVID-19 and the associated fallout continued to hit the region hard during 2021. With just 8 percent of the world's population, by year's end the region had suffered 30 percent of reported COVID-19related deaths, and economic recovery was slow at best. The four presidential elections held regionally had decidedly anti-incumbent tones, as governing parties were roundly rejected. Chile and Honduras elected moderate leftist reformers, Ecuador a moderate conservative, while in Peru a far-left novice came to power in an extremely fragmented political landscape.

GIGA Institute for Latin American Studies (ILAS) researchers continued with projects on current regional challenges and long-term trends. The year got off to a busy start as ILAS lead researchers were awarded external funding. First, Mariana Llanos and GIGA colleagues received financial support from the German Research Foundation (DFG) to investigate executive personalisation in the Global South.

Second, Merike Blofield received a DFG grant, together with co-author Juliana Martínez Franzoni (University of Costa Rica, and Humboldt Foundation Georg Forster 2021 Research Award Recipient), to analyse public opinion on social-protection measures in seven Latin American countries. Doctoral researchers Jorge Rincón and Johanna Pieper joined the project as well.

Third, Sabine Kurtenbach began research, together with doctoral researcher Viviana García Pinzón, on a Volkswagen Foundation-funded project on COVID-19 and violence in Colombia and Syria. Finally, Bert Hoffmann began work on the new "German-Latin American Centre of Infection & Epidemiology Research and Training" (GLACIER), with funding from the German Academic Exchange Service (DAAD). Hoffmann is collaborating with colleagues in Latin America to oversee an eight-country study on vaccination policies in Mexico, Central America, and the Caribbean.

ILAS scholars published in Lancet Global Health, Democratization, Political Studies, Conflict Management and Peace Science, as well as International Political Science Review. Kurtenbach published a co-authored anthology on the peace process in Colombia with the Friedrich Ebert Foundation. Our doctoral researchers were very active as well, from publishing research to writing policy briefs and giving media interviews. The ILAS welcomed visiting scholars from Argentina, Brazil, Colombia, Ecuador, and Peru. We were particularly honoured to have Gioconda Herrera, migration expert at FLACSO Ecuador and President of the Latin American Studies Association (2020–21), spend her sabbatical with us. As two new doctoral researchers joined the ILAS, Leo Bandarra and Tharcisio Leone successfully defended their dissertations; postdoctoral scholar Adam Scharpf was offered an assistant professorship at the University of Copenhagen too.

A dynamo cross-regional team with Llanos and Rossana Castiglioni, Dean of the Faculty of Social Sciences and History at the Universidad Diego Portales, Chile, took over editorship of the Journal of Politics in Latin America. Moreover, Llanos successfully assumed her Leibniz Professorship at the University of Erfurt.

ILAS scholars also engaged in dozens of policy-exchange activities. Rossi gave a speech at the European Parliament on COVID-19 and civil society in Latin America; Detlef Nolte wrote a policy paper on Mercosur for the Wilson Center; Belén González, Clara Franco, and Desirée Reder gave media interviews on Venezuela, Mexico, and Nicaragua respectively; Hoffmann co-authored, with virologist Felix Drexler (Charité Berlin), a GIGA Focus on COVID-19 vaccination politics, picked up by several media outlets in Germany and Latin America; and Blofield and Pieper shared findings on gender-basedviolence policies with GIZ officials.

#### CROSS-REGIONAL DEBATE: COVID-19, YEAR TWO



The ILAS held a widely attended Spanish-language virtual forum – "Covid-19, año 2: Consecuencias y desafíos para América Latina" – on the pandemic's effects one year in. Panellists from the ILAS and from Argentina, Costa Rica, Mexico, and Uruguay addressed fiscal challenges, as stimulation packages were not followed up with structural reforms

to generate increased revenue as well as more efficient and equitable spending. They also identified policies that exacerbated existing deep inequalities as setting the stage for difficult medium-term recoveries. Finally, concerns were expressed regarding democratic backsliding in some countries, while support for democracy was fragile in most.

Participants of the GIGA Forum "Covid-19, año 2: Consecuencias y desafíos para América Latina"

#### GIGA INSTITUTE FOR MIDDLE EAST STUDIES





Prof. Dr. Eckart Woertz

With the incoming Joseph Biden administration, the year 2021 promised new impulses in Middle East policy. The United States withdrew from Afghanistan and Iraq. However a relaunch of the Joint Comprehensive Plan of Action (JCPOA) nuclear accord did not occur, and its likelihood has not increased with the success of hardliner Ebrahim Raisi in Iran's presidential elections.

The attempted assassination of Iraqi prime minister Mustafa Al-Kadhimi in Baghdad's Green Zone showed the continuous political instability of the country and the security risks accompanying the proliferation of small drones.

After renewed tensions, Algeria broke off diplomatic relations with Morocco and closed the Maghreb–Europe gas pipeline that runs through the latter country to Spain. The Al-Ula agreement ended the rift between Qatar and its Gulf Cooperation Council neighbours Saudi Arabia and the United Arab Emirates. Several states sought rapprochement with Syria

and its reintegration into the Arab League.

Authoritarian resilience and democratic backsliding remained serious issues. In Sudan, the military reasserted itself. In Tunisia, the sole democratic success story of the Arab Spring, a power grab by president Kais Saied led to concerns about the emergence of authoritarianism 2.0 in the country.

The political turmoil experienced across the Middle East and North Africa (MENA) region was underpinned by economic challenges too. Runaway inflation in Turkey and Lebanon caused discontent and the ongoing brain drain of the young and educated. The COVID-19 pandemic continued to take a heavy toll on economic activity.

On a positive note, MENA countries increasingly took climate change seriously. Saudi Arabia pledged a net-zero emissions target for 2060 and the UAE for 2050. Over the coming two years, Egypt and the UAE will host meanwhile the United Nations Climate

Conference's COP27 and COP28 iterations respectively.

The GIGA Institute for Middle East Studies (IMES) covered these events and developments via a number of third-party funded projects. In addition to the Merian Centre for Advanced Studies in the Maghreb (MECAM), located in Tunis, and the Monitoring System and Transfer Platform Radicalization (MOTRA), IMES gained another consortium-based anchor project that is funded by the German Federal Ministry of Education and Research (BMBF). The project on "World Order Narratives of the Global South" is managed in cooperation with the University of Hamburg. Like MECAM, it will bolster the IMES's close research ties with regional partners via visiting fellows, conferences, and joint research projects. New projects with the German Research Foundation (DFG), Volkswagen Foundation, and German Academic Exchange Service (DAAD) completed the third-party-funding success.

The work of IMES researchers was published in major peer-reviewed journals, such as International Politics, The Journal of Peace Research, Studies in Conflict and Terrorism, and Democratization. IMES researchers organised a GIGA Forum and GIGA Berlin Talk on the personalisation of executive power during the COVID-19 pandemic and on Gulf labour migrants respectively, alongside addressing in the GIGA Focus series regional issues such as the new Iranian foreign policy under Raisi, the ongoing threat of ISIS, the Kurdish territories in Iraq and Syria, and the future of South Yemen. Last but not least, IMES researchers continued to provide policy advice, among others to the Federal Foreign Office (AA), the Federal Ministry for Economic Cooperation and Development (BMZ), and the Federal Criminal Police Office (BKA), while reaching out to the wider public via contributions to German and international media too.

#### **EVENT SERIES: 10 YEARS AFTER THE ARAB SPRING**



The virtual lecture series "10 Years After the Arab Spring" proved highly popular, especially among university students, with it on occasion attracting more than 300 participants. It showcased IMES research, such as a special issue on the topic in Mediterranean Politics and was held in collaboration with the University of Hamburg, the Heinrich

Böll Foundation, and the Academy in Exile at the Free University of Berlin. Beside academics, it featured also prominent politicians, civil society activists, and practitioners (for example, Niels Annen, Franziska Brantner, Kenza Rady, and Claudia Roth). See www.giga-hamburg.de/en/events/conferences-and-workshops/10-years-after-the-arab-spring

#### **RESEARCH PROGRAMME I:**

#### ACCOUNTABILITY AND PARTICIPATION





Prof. Dr. Mariana Llanos

Since February 2020 the COVID-19 pandemic has not only affected the way we work but also our research questions and interests. During 2021 we continued to hold meetings virtually, while travel to conferences and fieldwork were still reduced to a minimum or took place online. Three COVID-19-related research projects were developed and approved, two already kicking off in 2021. First, David Kuehn's project entitled "Un-healthy Civil-Military Relations?" (Volkswagen Foundation): it investigates civilmilitary relations in Latin America and Asia-Pacific, studying militarisation processes accompanying the public-health crises in these two world regions.

Second, Bert Hoffmann joined the German Academic Exchange Service-funded five-year multidisciplinary cooperation – the "German-Latin American Centre of Infection & Epidemiology Research and Training" (GLACIER): it undertakes a comparative study of vaccination policies in Central America, Mexico, and the Caribbean.

Third, "COVID-19 and Executive Personalization in Sub-Saharan Africa, Asia, Latin America and the MENA Region" (starting January 2022), developed by David Kuehn, Mariana Llanos, and Thomas Richter, a German Research Foundation (DFG) project: it analyses the extent to which the pandemic has been a window of opportunity to bolster executives' personal grasp on power.

Our research follows real trends in authoritarian politics, autocratisation, and the state of democracy in the four GIGA world regions. One of the most important recent events impacting on authoritarianism research was the 2011 Arab uprisings and their aftermath. André Bank co-edited a special issue in Mediterranean Politics on the question of how these mass protests against authoritarian rule shaped research in various subdisciplines of Political Science. He reflected on 2011 as a critical juncture, its repercussions for the Area Studies controversy, as well as on the prospects

for doing research on and in the Middle East and North Africa region. Also in said special issue, Maria Josua co-authored an article on the impact of the Arab uprisings on repression research as part of her ongoing DFG-funded project on justifications for it in authoritarian regimes. The two mentioned articles were the most viewed contributions in Mediterranean Politics in 2021, testament to both the relevance and pertinence of our research and to the enabling open access policy pursued by the GIGA.

RP1 members organised two additional special issues in 2021. These focused on presidential term limits, a topic closely connected to developments regarding autocratisation and (de-)institutionalisation. The first (in Africa Spectrum) offered insights on the impact of presidential term limits on authoritarian rule in Sub-Saharan Africa. The second (see textbox below) studied term limits in two GIGA world regions.

RP1's research on democracies was also strengthened with the launch of the Democratic Institutions in the Global South (DEMINGS) project (Leibniz Competition). It will contribute to research on the functioning of democratic institutions in the Global South, their (in)efficacy to constrain powerful executives, and the effects of particular institutions on both democratic quality and regime stability. The online workshop "Latin American Democracies in Crisis," taking place in December in cooperation with the Europe-Latin America Network for Governability (RedGob), was the first of several planned project events

To close, we continued with our Democratic Erosion Reading Series: the very special RP1 meetings that encourage members to discuss new publications and directly exchange ideas with their authors. These meetings often set the seed for new research projects among members.

#### TERM LIMITS IN AFRICA AND LATIN AMERICA



Since the 1990s, Latin America and Sub-Saharan Africa have been focal points in the trend of frequent presidential-term-limit contestation by incumbents. The special issue "Contested, violated but persistent: Presidential term limits in Latin America and Sub-Saharan Africa," organised by Charlotte Heyl and Mariana Llanos for Democrati-

zation (2022, Volume 29, Issue 1), contributes new theoretical and empirical insights on the topic with nine original articles adopting a regional, cross-regional, or global perspective. The GIGA's research on term limits was funded by the DFG (2017–2021).

https://doi.org/10.1080/13510347.2021.1997991

Yoweri Museveni, President of Uganda since 1986

#### PEACE AND SECURITY





Dr. Christian von Soest

Analysing the repercussions of the COVID-19 pandemic for violent conflict was an important focus of Research Programme 2 "Peace and Security" in 2021. Three new research projects started this year. Two are funded by the Volkswagen Foundation, namely "Corona Conflicts? Analysing Effects of the COVID-19 Pandemic on Violent Conflict in Africa," led by Matthias Basedau, and "COVID-19 and the Dynamics of (Post-)War Violence: Lessons from Colombia and Syria," which is headed by André Bank and Sabine Kurtenbach. Funded by the German Research Foundation programme on the Global South, a third research project is dedicated to the pertinent case "Rebellion, Elections and the Pandemic in the Central African Republic" and headed by Tim Glawion. Furthermore, we conduct research on "COVID-19 and Armed Actors in the Global South," funded by the Federal Ministry for Economic Cooperation and Development (BMZ) (André Bank, Yannick Deepen, Julia Grauvogel, and Sabine Kurtenbach).

These initiatives all apply state-ofthe-art research methods and conduct systematic, cross-, as well as intra-regional comparison. First findings shed light on how the pandemic impacts social and political relations in afflicted societies. Analysing violence trends in Sub-Saharan Africa, Matthias Basedau and Mora Deitch found that "COVID-19related unrest" and repressive government reactions have significantly contributed to an increase in violence. Yet, the most severe consequences of the pandemic on conflict will most likely be felt in the years to come, particular in countries that are already fragile.

Furthermore, RP2 scholars produced top-notch research on the role of identities, institutions, and interventions in relation to peace and conflict. Several publications in leading peer-reviewed journals, for instance the Journal of Peace Research, analysed religious violence and interfaith peace, the characteristics of non-state armed groups, and the influence of media freedom on the

choice of violent and non-violent tactics. A special issue in the journal Democratization, co-edited by Eric Stollenwerk, dealt with "Resilience-Building in the EU's Neighborhood" to avert violent conflict. His own article assessed strategies to foster resilience and strengthen security perceptions in the European Union's partner countries. Another contribution to this special issue by Eckart Woertz (co-authored with Daniela Huber) compares resilience and conflict in Iraq, Lebanon, and Syria.

Adding to the agenda of the Research Programme, a new focus will lie on how digital technology impacts security and conflict. Christian von Soest is a principal investigator (with Amrita Narlikar and Jann Lay) of the GIGA Digital Transformation Lab (DigiTraL) that started in 2021 and is funded by the Federal Foreign Office (FFO). Leg I, "Digital Diplomacy," focuses on how digital transformation offers new tools, opportunities, and challenges to foreign policy. It will

identify the chances and (security) threats of digitalisation to support adequate responses in (digital) diplomacy.

RP2 members were also strongly engaged in knowledge-transfer activities. They presented their insights in public events, for instance (online) GIGA Forum events on the new foreign policy tool of cyber sanctions and on population growth as a potential security risk in Africa. Further target groups were decision makers and the media, addressed for instance in an op-ed on EU sanctions against Belarus. As part of the renowned Raisina Dialogue, GIGA President Amrita Narlikar interviewed then Federal Defence Minister Annegret Kramp-Karrenbauer on Germany's involvement in the Indo-Pacific. Narlikar also chaired a GIGA Distinguished Speaker Lecture on the retreat of Western forces from Afghanistan. RP2 members published policy papers and gave presentations at the FFO, the BMZ, and further ministries.

#### GRASPING THE GOVERNANCE OF FORCED MIGRATION



Within the EU-funded project "Migration Governance and Asylum Crises" (MAGYC), a team of GIGA researchers investigates how forced migration is regulated in three global migration hotspots: the Middle East, North Africa, and the Horn of Africa. Based on conceptual work, the team conducts empirically rich, cross-, and intra-region-

al comparative analyses on how states ravaged by civil war govern their displaced populations, on the role of power concentration in forced migration, and on how climate change is used to legitimise authoritarian practices such as forced resettlement.

www.magyc.uliege.be

#### GLOBALISATION AND DEVELOPMENT





Apl. Prof. Dr. Jann Lay

The work of Research Programme 3 "Globalisation and Development" centred around some of the key current global risks and challenges, including: climate and environmental issues, the pandemic and its socio-economic repercussions, as well as sustainability in global value chains. These risks were also discussed in the 2021 edition of the "Global Risks Report," to which GIGA President Amrita Narlikar contributed as a member of the World Economic Forum. The report highlighted that environmental degradation interacted with societal fractures, such as unemployment and health risks, with severe consequences.

In our BMBF-funded project on "De-Carbonizing Economic Development in Sub-Saharan Africa," we examined the challenges related to implementing climate-mitigation policies in developing-country contexts. In a paper which was presented at the Verein fuer Socialpolitik conference, we examined the ambiguous socioeconomic and environmental

implications of removing fossil fuel subsidies in Ghana. This line of research also fed into the online event "Towards Resilient Low-Carbon Economies: Climate Risks and Policies," which we jointly organised with the Mercator Research Institute on Global Commons and Climate Change (MCC), the GIZ, and the Poverty Reduction, Equity and Growth Network (PEGNet).

Our research also highlighted the major environmental risks related to large-scale land acquisitions (LSLAs): More than ten years since the surge herein started in developing countries, the Land Matrix Initiative - including three GIGA authors - has taken stock of the "global land rush" and its socio-economic and environmental impacts. The third analytical report finds that "business as usual" continues to destroy rainforests and natural habitats. Compliance with the principles of responsible business practice is rare and the non-consensual and uncompensated loss of land seldom comes with socio-economic benefits. In a

related paper published in OneEarth, Jann Lay and co-authors point at the associations between LSLAs and the emergence of zoonoses.

In a paper published in Lancet Global Health, Merike Blofield and co-authors examine the link between providing financial support and mitigating the increased risk of intimate partner violence. We started two new German Research Foundation (DFG)-funded projects on the pandemic's impacts. One studies the role of social protection for households with children in Latin America. A second project is set to investigate the success factors of a massive COVID-19 vaccination drive in Indonesia. In the course of 2021, the pandemic emphasised the vulnerabilities of global production networks and the associated value chains that are studied in the Research Network Sustainable Supply Chains, which we operate together with the Kiel Institute for the World Economy, the German Development Institute (DIE), and the German

Institute for International and Security Affairs (SWP). With the GIGA at the helm, the network organised a research conference on sustainability in global supply chains in cooperation with the annual "Forum for Globalization and Industrialization" of the United Nations Industrial Development Organization, attended by approximately 300 participants. In a T20 policy brief, GIGA researchers and co-authors argue that the G20 should become a central global forum for exchange on how to achieve greater sustainability in global value chains.

This resonates with the notion of the Federal Foreign Office's "White Paper – A Multilateralism for the People" that shows pathways on how to reinvigorate the multilateral order and adapt it to the conditions of the twenty-first century. Together with the Global Public Policy Institute, we were in charge of the launch of the English-language version of this government White Paper.

### DATA GENERATION UNDER PANDEMIC CONDITIONS



Under the difficult conditions of the pandemic, we secured baseline data for a series of policy-impact evaluations in Ghana and Côte d'Ivoire. The data collection was carried out as part of the Research Support to the Special Initiative on Training and Job Creation project (funded by the Federal Ministry for Economic Cooperation and Development,

BMZ). This Special Initiative is aimed at creating training opportunities and permanent jobs in Africa, and at improving people's income levels and working conditions.

www.giga-hamburg.de/de/forschung-und-transfer/projekte/research-support-to-the-special-initiative-on-training-and-job-creation

# Photos: Boris Rostami-Rabet (1), Reuters/Peter Nicholls (1), Körber Stiftung, Graphic: Pia Bublies (1)

### **GLOBAL ORDERS AND FOREIGN POLICIES**





Dr. Miriam Prys-Hansen

Research Programme 4 (RP4) "Global Orders and Foreign Policies" engages with theoretically inclusive and empirically relevant research on questions of cooperation, multilateralism, and the policies of different state and non-state actors within the international realm. Questions of global order and international institutions are discussed in our core thirdparty-funded projects - including "Legitimate Multipolarity," the "Legitimation Strategies of Regional Organizations," "Drifting Apart: International Institutions in Crisis," and the Excellence Cluster SCRIPTS on the "Contestation of the Liberal Script" to which GIGA President Amrita Narlikar and team contribute. Additional projects such as the Körber Multilateralism Lab (see textbox) also tackle these questions. Aside from producing several outstanding academic publications, team members have also contributed to the media - including Heike Holbig giving an interview on China's role at the G20 Summit. On the foreign policies of different

actors, big and small, from the GIGA's studied regions, our work discusses, for instance, the growing role of populism and its impact on foreign policies.

Johannes Plagemann (with Sandra Destradi) has published articles in several high-ranking journals, including Foreign Policy Analysis and the Review of International Studies, and (with Hakkı Taş) in the British Journal of Politics and International Relations, making a strong mark in the field. Hakkı Taş has further been sought after as a media commentator on Turkey's foreign policy.

Other foreign policy aspects are addressed in our reinvigorated research on regional powers. This includes engagement with unconventional sources of influence and power, as illustrated by Jens Heibach in an article on how Saudi Arabia has used different public-diplomacy tools to prevail in regional leadership struggles. Further topics of increasing importance are the competing connectivity strategies of actors large and small in Asia. Related to this is our

work on the increasing geopolitical contestations in the Indo-Pacific. Christian Wirth works within his DFG-funded project on Indo-Pacific geopolitics and their impact on the rules of maritime governance. In 2021, he authored several articles on the topic and was offered many opportunities to discuss his work with policymakers - for instance, during a "Crisis Talks" debate organised by the Leibniz Research Network "Environmental Crises -Crisis Environments" in Brussels. Moreover, the new Franco-German Observatory of the Indo-Pacific with a total of seven webinars and a high-level conference on foreign policy-signalling in the region - has enhanced the GIGA's visibility in this area of research. Amrita Narlikar moderated a highlevel panel on "A Decade of Emergence: Europe in the Indo-Pacific" as part of the Raisina Dialogue 2021. The issue of climate change has continued to form an important part of RP4's research and outreach. Team members have

contributed, for instance, to the Cluster of Excellence "Climate, Climate Change, and Society" of the University of Hamburg with work on the social construction of climate futures in Indian climate policies.

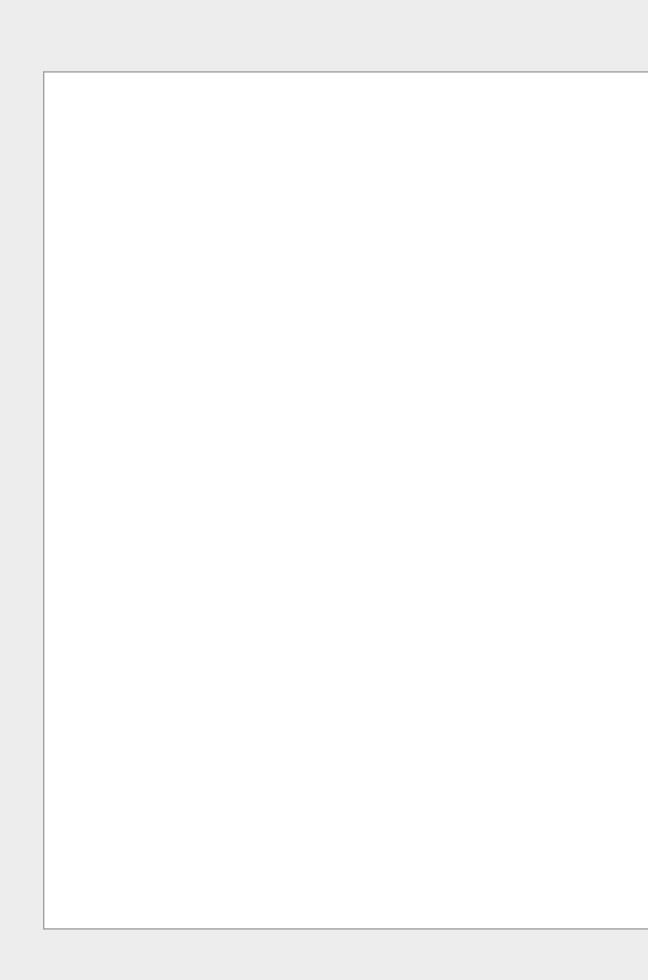
In terms of academic networking and policy exchange, RP4 researchers were consulted, for example, on the non-proliferation of weapons of mass destruction (WMD) in the GIGA's studied regions. Doctoral researchers Mona Saleh and Leonardo Bandarra were invited, respectively, to a EU Non-Proliferation Consortium event in Brussels and a UN General Assembly First Committee Side Event on a WMD Free Zone in the Middle East, RP4 also hosted academic guests, including Dr. Mattias Ecker-Eckhart who spoke about the impact of social media on international institutions. Lastly, RP4 members have taken part, mostly virtually, in conferences organised by ISA, ECPR, APSA, and many others.

### THE FUTURE OF MULTILATERALISM



RP4 researchers study the impact of the COVID-19 pandemic, climate change, and other geopolitical tensions on the global multilateral order. Together with representatives of the Körber Foundation, Amrita Narlikar launched the concluding report of the joint Multilateralism Lab as part of the Munich Security Conference's "Road to Munich" campaign.

The report, based on three interactive sessions with international experts, discusses meaningful reform of the multilateral order, with reference to specific areas including global health, trade, security, and climate change. www.giga-hamburg.de/en/events/conferences-and-workshops/making-it-matter-towards-meaningful-multilateralism



3

INTERNATIONALISATION,
JUNIOR TALENTS

& EQUAL OPPORTUNITY

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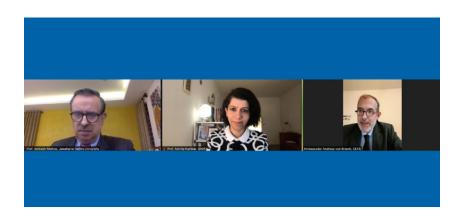








### INTERNATIONALISATION



In 2021 our internationalisation efforts expanded, covering a range of global collaborative endeavours: joint research projects with partners in different parts of the world (as reflected in our publications), as well as events, policy engagement, and media contributions. GIGA researchers served on the advisory boards of international think tanks and research institutes in Barcelona, Buenos Aires, Cambridge, Delhi, Frankfurt, Helsinki, and more. They worked on the editorial boards of high-impact journals and held leading positions in international networks. Mariana Llanos, for instance, held the pro tempore presidency of the Red Euro-Latinoamericana de Gobernabilidad para el Desarrollo (RedGob) and organised an agenda-setting workshop on "Latin American Democracies in Crisis." We took our Comparative Area Studies agenda to the next level with a series of international author workshops.

Our researchers expanded their cooperation with international organisations. Jann Lay and Stefan

Pahl, for instance, partnered with the United Nations Industrial Development Organization to convene an international conference on sustainable global supply chains. Amrita Narlikar served on the Global Future Council on Frontier Risks of the World Economic Forum. Eckart Woertz engaged with the UN **Economic and Social Commission** for Western Asia on social justice and food security. Continuing with the GIGA's established tradition of Distinguished Speaker Lectures, we brought together the European Union Ambassador to Afghanistan and Professor Amitabh Mattoo to engage in a robust public debate on the "Retreat from Afghanistan." Our Franco-German Observatory was also launched last year, and has since become a focal point for academic and policy exchange on the Indo-Pacific.

We are proud of our international footprint, doubly so as we have continued to rely on online formats (whenever possible) with an eye to sustainability considerations and the reduction of our carbon footprint.

Photo left top: Participants of the Cooperation Event "Launch Event for the Research Network Sustainable Global Supply Chains"

Photo left middle: Participants of "RedGob Workshop: Latin American Democracies in Crisis. Causes, Processes, and Remedies"

Photo left bottom: Participants of the Franco-German Observatory of the Indo-Pacific "Inaugural event: Indian Perspectives on the Indo-Pacific"

Photo right:
Participants of the
GIGA Distinguished
Speaker Lecture
Series "Retreat from
Afghanistan: What it
Means for Regional
and Global Order"

### **DOCTORAL PROGRAMME**





Dr. Maren Wagner

Our doctoral researchers coped very well with another year restricted by the pandemic – they participated in virtual summer or winter schools and conferences, changed their planned field research trips to virtual field research, and kept themselves motivated and productive despite the many challenges.

We welcomed eight new doctoral researchers to the GIGA Doctoral Programme (DP) in 2021: Aniket Bhavthankar, Rosanna Fanni, Katharina Fietz, Ardahan Gedikli, Julia Köbrich, Diba Mirzaei, Johanna Pieper, and Askan Weidemann. Their dissertation projects focus on diverse topics, for example social-protection systems and (in)formal labour markets in the Global South or the regional security architecture in the Persian Gulf, and explore topical research questions, such as whether innovative technologies force new alliances or how to foster interreligious peace.

As DP members, our doctoral researchers are fully integrated into institutional and academic life and

greatly contribute to the GIGA's various events and publications. For example, Asma Khalifa and Johanna Pieper were among the speakers at the GIGA Forum on policy efforts addressing genderbased violence during COVID-19, and Jan Klenke contributed as a speaker to the GIGA Forum on expectations for the United Nations Climate Conference in Glasgow. They also co-authored GIGA Focus issues on the respective topics. Doctoral researchers Hana Attia, Rafael Castro, Clara Franco, Anna Fünfgeld, Hannes Greve, Dastan Jasim, Niklas Krösche, Mona Saleh, Swantje Schirmer, Tevin Tafese, and Ximena Zapata also contributed to the 2021 GIGA Focus issues.

The restructured DP course programme proved to be working well after the first terms. As an additional offer, we organised a talk on mental health during the PhD. The aim here is to raise more awareness on this important topic, encouraging talking about it, and to offer concrete tips on how our

# Time at the GIGA: Three years Presentations

Research Colloquium and Research

### **GIGA Training Programme**

core social science modules and soft skills

### **Methods Courses**

partner universities, summer/winter schools

### First Doctoral Board Meeting

evaluation of progress

### **Additional Training**

partner universities, summer/winter schools

### Second Doctoral Board Meeting

evaluation of progress

### Final Year

completion and submission of thesis

doctoral researchers can work on their own mindsets.

Due to the ongoing pandemic, many of the planned stays of visiting doctoral researchers had to be postponed. But in 2021, the DP welcomed again one PhD candidate – first virtually and then also in person – from the University of Brasília for three months.

The doctoral researchers held their annual general assembly by the end of the year – organised by outgoing representatives Hager Ali and Jan Klenke – and elected three new representatives in their place: Asma Khalifa, Jorge Rincón, and Askan Weidemann.

Four of our doctoral researchers -Leonardo Bandarra, Lisa Hoffmann, Tharcisio Leone, and Isabel Rosales - successfully defended their dissertations in 2021. Their theses address a fascinating range of research questions, such as why latent nuclear states participate in international nuclear non-proliferation institutions or why and how Central American sending states implement transnational emigrant policies. Four more DP members submitted their theses in 2021 and are preparing for their defence in 2022.

www.giga-hamburg.de/en/the-giga/dp/

### GIGA DOCTORAL PROGRAMME

Additional Academic Activities

Conferences and Field Research

### **EQUAL OPPORTUNITY**



In 2021, the GIGA's activities related to the fostering of equal opportunity were characterised by three main developments:
(1) a particular focus on diversity;
(2) the GIGA starting to promote regular trainings with equal opportunity relevance; and, (3) the elections of our Equal Opportunity Commissioners taking place.

Diversity was a particular focus of the GIGA's equal opportunity work in 2021. At the GIGA, we recognise and appreciate that the diversity of our employees, with their different backgrounds, perspectives, and talents, enriches our Institute in multiple ways. Signing the "Diversity Charter" is our public statement of that understanding (see textbox). Approaching the topic on Diversity Day 2021, some of our colleagues took pictures with signs of what diversity means to us, which were posted on Facebook and Twitter. In autumn, the GIGA's Executive Board decided to expand the scope of our long-standing Equal Opportunity Fund to now also

support diversity-related measures at the Institute. Finally, to assist new colleagues getting started at the GIGA as well as in everyday life in Hamburg or Berlin, we introduced a buddy programme. Colleagues at the GIGA can register as "Welcome Buddies" for incoming colleagues.

Our second endeavour was to further promote trainings with equal opportunity relevance, including a focus on diversity. To determine the interests and needs of GIGA staff, we conducted a short survey regarding different training topics in autumn 2021. Fifty team members participated in this survey. Based on the feedback received, we organised two online workshops at the end of 2021: on burnout prevention and resilience and on negotiation training for women respectively. A training on unconscious bias is planned to take place in spring 2022 that will also address intersectionality and different discrimination dynamics. Furthermore, we continued with the Institute's

own mentoring programme, run in cooperation with the "Expertinnen-Beratungsnetz." Three women colleagues seeking career-development advice completed, and four others started, their mentoring in 2021. The programme will support them in defining individual career goals and the pathways to achieving them.

Third, we held Equal Opportunity Commissioner elections for a term of four years: Maren Wagner was reappointed for a second term as Equal Opportunity Commissioner and Lisa Hoffmann was appointed Deputy Equal Opportunity Commissioner. Throughout the year, both were active in various networking meetings, such as the 21st Equal Opportunities Conference of the Leibniz Association on the topic of "active recruitment" and a Leibniz Conference that discussed ways of promoting diversity in the Leibniz Association.

In light of the ongoing pandemic, GIGA team members continued to mostly work from home.

Through a professional family service, they were again offered the option of using emergency childcare and making use of vacation programmes, as well as participating in events on various topics related to the reconciliation of work and care obligations and on mental health and well-being. We were happy to offer a Girls' & Boys' Day - seven school children (grades 6-8) had the chance to virtually get to know the GIGA and the work of our different departments. The annual parents' meeting provided a platform to discuss the challenges of yet another year working and living with the COVID-19 outbreak. Taking the experiences of the pandemic into account, the GIGA management and the Works Council concluded a Works Agreement on Mobile Work that represents a set of very flexible and future-oriented regulations allowing GIGA employees to work from home (or elsewhere to GIGA premises) on a regular basis.

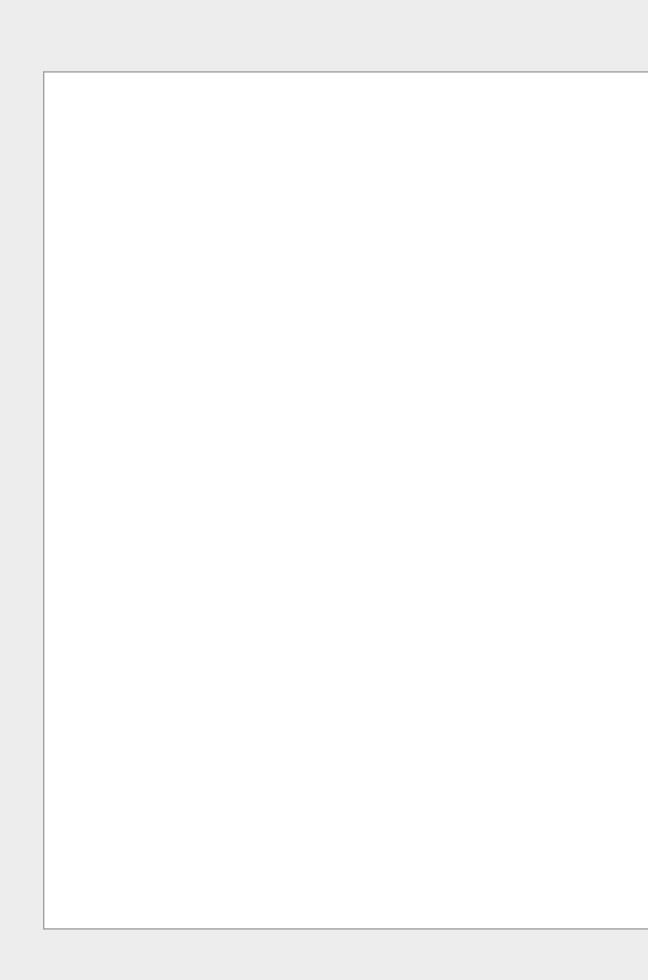
### JOINING THE DIVERSITY CHARTER COMMUNITY



In May, then Federal Commissioner for Migration, Refugees and Integration Annette Widmann-Mauz and GIGA President Amrita Narlikar signed a declaration making the GIGA a member of the "Diversity Charter" initiative. This underscores our goal of maintaining an appreciative and prejudice-free working environment by recognising and

promoting the diverse potential of our team members. The Charter is a fundamental statement regarding diversity's economic and social benefits, and a commitment to tolerance, fairness, and appreciation at work and in society.

www.giga-hamburg.de/en/press/diversity-charter



4

KNOWLEDGE

**TRANSFER** 

& IMPACT STORIES

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### **KNOWLEDGE TRANSFER**



The Indo-Pacific has emerged as a new economic and strategic centre of gravity, in which established and rising powers now compete with one another. The year 2021 saw the adoption of the "European Union Strategy for Cooperation in the Indo-Pacific" and the preparation of a new United States "Indo-Pacific Strategy." Unfortunately, few Western actors have sought to understand the views and approaches of actors within the Indo-Pacific itself. The Franco-German Observatory of the Indo-Pacific - a dialogue series initiated by the GIGA and SciencesPo, Paris - seeks to fill this vacuum. In 2021 we shed light, inter alia, on the views of India, Australia, Japan, and Indonesia. Its annual conference included a closed-door seminar with policymakers from France, Germany, and the European External Action Service. The event series is organised by GIGA Vice President Patrick Köllner, in cooperation with GIGA President Amrita Narlikar.

The GIGA also engaged in many other knowledge-exchange activities internationally. Our researchers contributed to events such as the Munich Security Conference, Global Solutions Summit, Raisina Dialogue, and Federal Foreign Office's (FFO) Ambassadors Conference, and provided expertise to global institutions such as the United Nations Security Council, World Trade Organization, and World Bank. Together with the International Land Coalition, we launched the third analytical report of the Land Matrix, the goto database for academics and practitioners on land acquisitions. GIGA scholars (co-)authored policy briefs and blog posts, inter alia, for the T20 process, the World Economic Forum, and the UN's Food and Agriculture Organization, further to conducting joint activities with institutions such as, Bertelsmann Foundation (Bertelsmann Transformation Index), Lancet Health Commission, Körber Foundation, and Brookings. We provided expertise to these

institutions and to our governmental and non-governmental partners in the Global South on themes like international sanctions, global health, land policy, food security, social protection, democratic backsliding, climate protection, and multilateralism (see Impact Story on page 52).

At the same time, we further intensified our close collaboration with decision makers in Germany. With the Federal Foreign Office (FFO), we started the first phase of our Digital Transformation Lab (DigiTraL), focusing on "digital diplomacy." To participants around the world, we presented the two senior diplomats in charge of the FFO's digitalisation strategy,

Ambassadors Dr. Regine Grienberger and Dr. Hinrich Thölken, via a GIGA Distinguished Speaker Lecture. In a GIGA Berlin Talk, organised by Christian von Soest in collaboration with the FFO, we discussed the opportunities and risks of cyber sanctions, and ways to combat malicious hacking. Matthias Basedau provided research expertise on religion and conflict to the Federal Academy for Security Policy (BAKS). With the Federal Ministry for Economic Cooperation and Development (BMZ), we built on our long-standing exchange and started a new round of cooperation on sustainable global supply chains (see Impact Story on page 53).

### GIGA IN THE MEDIA



GIGA researchers made numerous appearances in print media and on TV and radio. They talked with both national and international media: Süddeutsche Zeitung, tagesschau. de, Handelsblatt, and NDR as well as the BBC, The Indian Express, Asahi Shimbun, and Al Jazeera. They analysed the year's biggest issues such as COVID-19 or the retreat from Afghanistan, and also other urgent global matters such as multilateralism or climate change.



GIGA experts also shared their findings via other media formats. For example, Amrita Narlikar participated online in the prestigious Raisina Dialogue, to interview (then) Federal Minister of Defence, Annegret Kramp-Karrenbauer, on Germany's role in the Indo-Pacific. Her article, Emerging Narratives and the Future of Multilateralism, was published as lead essay of Raisina Files.

www.giga-hamburg.de/en/press

### GIGA BERLIN OFFICE

Participants of the GIGA Talk "Can Freedom of Religion and Belief Promote Sustainable Development? Inclusive Education Systems in Focus"





Prof. Dr. Bert Hoffmann

The Berlin Office is the GIGA's central hub for outreach to the foreign policy community in the German capital. The location of the Berlin Office at Checkpoint Charlie, right at the heart of Berlin's central district and in walking distance to the Federal Foreign Office, the Bundestag, and many other institutions, makes it an ideal venue for GIGA researchers to engage face-to-face with policymakers - that is, in normal times. With the GIGA taking the socialdistancing requirements regarding COVID-19 seriously, the Berlin Office's outreach activities, such as the flagship "GIGA Talks," moved to online formats. The GIGA Talks maintained their distinct character, whereby GIGA researchers discuss their findings with practitioners. While we missed the on-site interaction in the Berlin Office, going online allowed us to engage more than ever before with academics and policymakers in Africa, Asia, Latin America, and the Middle East. To retain the benefits of digital formats beyond the pandemic, the Berlin Office infrastructure

has been refined to hold hybrid events in the future that combine physical presence with online participation.

www.giga-hamburg.de/en/the-giga/giga-berlin-office/

### GIGA Talks in 2021

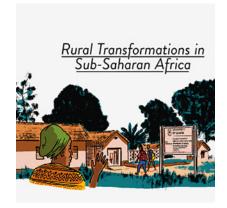
- Safeguarding the "Rules-based Order"? Europe Navigates the Shallow Waters of the Indo-Pacific
- Few Benefits, Huge Risks: Taking Stock of the Global Land Rush
- Cyber Sanctions: Increasing Application of the New Instrument
- How China is Reshaping Global Economic Governance
- Can Freedom of Religion and Belief Promote Sustainable Development? Inclusive Education Systems in Focus

### MSC: PRIORITIES FOR TRANSATLANTIC CHINA POLICY



Deepening the collaboration with the Munich Security Conference (MSC) begun in 2020; Amrita Narlikar served as member of the Distinguished Reflection Group on Transatlantic China Policy. The deliberations of the group of 21 international experts, chaired by Wolfgang Ischinger and Joseph Nye, resulted in the report: "Mind the Gap: Priorities for Transatlantic China Policy." Within the Road to Munich campaign and in cooperation with the Körber Foundation. the GIGA launched the Körber Multilateralism Lab Report. The report develops a blueprint for a meaningful reform of the multilateral order. The GIGA President further contributed to the MSC Global Health Security Roundtable, which brought together key decision-makers and experts to discuss strategies for preparing for future pandemics. www.giga-hamburg.de/en/press/ mind-the-gap-priorities-for-transatlantic-china-policy

### RESEARCH COMIC: RURAL TRANSFORMATIONS IN AFRICA



As one of our contributions to the BMBF-funded Merian Institute for Advanced Studies in Africa (MIASA), GIGA scholar Lisa Hoffmann, together with colleagues in Ghana, conceptualised a research comic about rural transformations in Sub-Saharan Africa. With unconventional outreach formats like this, the GIGA actively engages in making research results easier to access for the different target audiences of its knowledge-transfer activities. The comic was produced both as a video clip and as a classic comic strip (PDF file); it is available on the GIGA's website: www.giga-hamburg.de/en/publications/contributions/rural-transformations-sub-saharan-africacomic-fellows-merian-instituteadvanced-studies-africa-miasa

### IMPACT STORY: MULTILATERALISM - GLOBAL CHALLENGES



United States president Joseph Biden's promise of "America is back" had fuelled again a more optimistic perspective on multilateralism after the years of the Trump administration. However, the COVID-19 pandemic, the chaotic and tragic retreat from Afghanistan, and the Russian invasion of Ukraine – in dramatic violation of international law – show the many trials the global order is confronted with.

With their research and outreach on questions of multilateralism, GIGA scholars contributed to the addressing of some of these challenges. The GIGA provided research inputs to the Federal Foreign Office in the preparation of the "White Paper on Multilateralism," and also co-organised international consultations and outreach events with stakeholders in the Global South towards this. The White Paper, adopted in 2021, sets the scope of Germany's multilateral engagement and its importance for the international order.

As part of "The Road to Munich" campaign by the Munich



Security Conference (MSC), promoting the discussion on key challenges for transatlantic relations in the run-up to the MSC 2022, the GIGA and the Körber Foundation launched the report "Making it Matter: Thought Experiments for Meaningful Multilateralism." This was based on an innovative Lab concept - a joint GIGA-Körber Foundation initiative – which brought together leading international researchers and practitioners to develop proposals for reforming multilateralism. Amrita Narlikar also served on the MSC's Distinguished Reflection Group, which produced the report "Mind the Gap: Priorities for Transatlantic China Policy."

GIGA expertise on multilateralism was published as research papers in high-ranking journals, as policy papers (some with other international institutions, such as Brookings and Observer Research Foundation), and as op-eds in national and international newspapers.

www.giga-hamburg.de/en/research-and-transfer/topics/multilateralism

### IMPACT STORY: SUSTAINABLE GLOBAL SUPPLY CHAINS



Worldwide, about 25 million people work as forced labourers, and there are more than 150 million child labourers. To protect human rights in global supply chains, the German Federal Government for many years had relied on voluntary commitments. In June 2021, the German Bundestag adopted its first supply chain law, aiming at improved protection of human rights and introducing clear requirements for corporate duediligence obligations.

The GIGA, together with colleagues from the German Development Institute (DIE), the Institute for the World Economy (IfW Kiel), and the German Institute for International and Security Affairs (SWP), operates the research network "Sustainable Global Supply Chains." The network investigates social and environmental standards and deals with the resilience of global supply chains - a question that has become increasingly important in recent times. "Through their work, the researchers make a key contribution to



discussion which we are seeing and conducting in Germany and at the European and international level," says State Secretary Norbert Barthle.

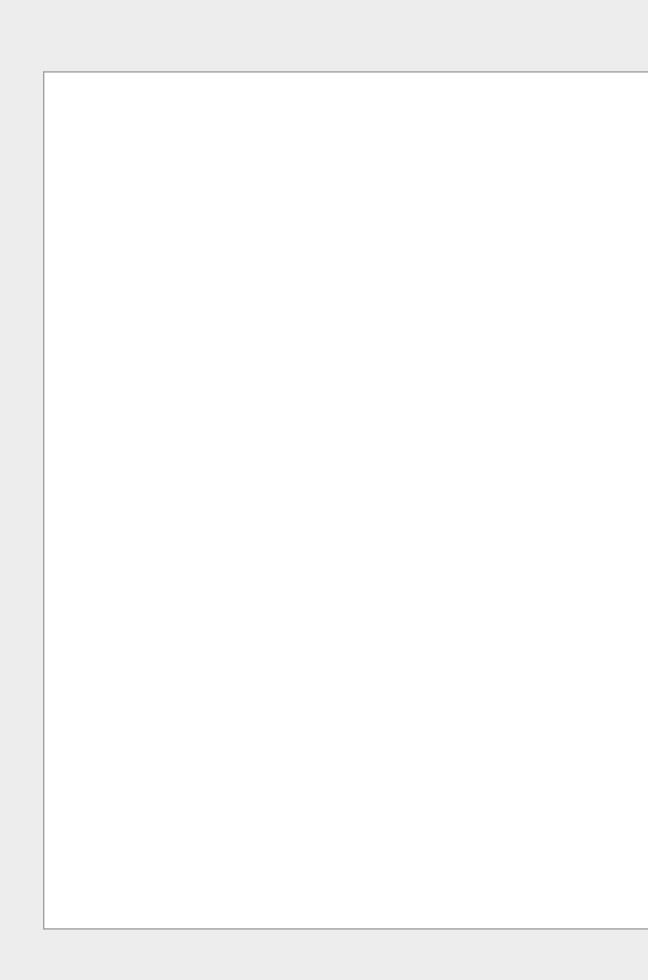
As part of the T20 process, which as an official engagement and outreach group of the G20 brings together leading global think tanks and research institutions, GIGA researchers Jann Lay and Stefan Pahl co-authored a widely received policy brief on multilateral coordination and exchange for sustainable global value chains. They argue in favour of the G20 becoming a key global forum for setting in place effective processes in this important challenge.

The first "Sustainable Global Supply Chains Report" was launched in April 2022. "In order to find the right mix of measures, we as policymakers depend on the scientific community," writes State Secretary Bärbel Kofler. "The work of the Network is an important foundation for our efforts to promote sustainable supply chains and decent work worldwide."

www.sustainablesupplychains.org

the current discussion on the

design of global supply chains, a



GIGA
INFORMATION
CENTRE

### GIGA INFORMATION CENTRE





Jan Lüth

The GIGA Information Centre (IZ) specialises in Area Studies and Comparative Area Studies, providing access to literature on the GIGA's researched regions, international politics, the Social Sciences, and research methodologies. The IZ particularly supports GIGA scholars across all stages of the research process (see textbox). There are three pillars here: the statutory mandate in accordance with Article 2 of the GIGA Charter; the GIGA's Global Approach to scholarship; and, the needs and requirements of GIGA scholars the IZ's primary target group.

In 2021 the IZ acquired 1,191 new titles, bringing the total number of items in its collection to 197,000. The acquisition strategy was adapted in some areas. With a so-called Evidence Based Acquisition licence, the IZ is evaluating a new model whereby up to 10,000 e-books are accessible – but for a limited time only. This means a paradigm shift from a very focused collection of mainly printed books to a broader access

to e-books. Additionally, the IZ offers more than 101,500 Open Access documents. The IZ's significance stems also from its prominence within the larger framework of research information in Germany, contributing also to the German Information Network (FIV). Within the framework of the latter's World Affairs Online (WAO) database, 10 German research institutes collect bibliographic Social Science data covering global and regional developments, foreign and security policy, and social trends. In 2021 the IZ added 4,279 new records to the WAO, which is searchable in union catalogues of library networks, in virtual subject libraries, in subject portals, and in resource-discovery systems worldwide, as shared under an Open Data licence. Since 2021 the IZ holdings appear in "beluga," a search engine operated by the State and University Library of Hamburg in which over nine million entries across 13 Hamburg libraries can be explored. In this way, the IZ also

contributes to the increased visibility and dissemination of the GIGA's research findings – in Hamburg and globally.

Information must not only be findable but also accessible, and preserved. As part of its Open Access service, the IZ indexed and deposited 34 GIGA Focus issues, and 47 peer-reviewed articles (Open Access via licence agreements or with financial support from the GIGA's Open Access Fund) by GIGA scholars in the Social Science Open Access Repository (SSOAR). Through SSOAR, full texts are also available in LeibnizOpen, which offers central access to openly available digital publications authored by Leibniz Association scholars. GIGA Focus articles were downloaded more than 99,000 times from SSOAR; deposited articles 48,000 times.

The IZ service on research-data management focuses on supporting GIGA scholars when addressing related aspects in grant applications. The IZ also helps them with claiming an Open Researcher and Contributor ID (ORCID), which 66 per cent have already done. Further tasks of the IZ are, among other things, maintenance of bibliographic information on the publications and research data of GIGA researchers in the Institute's current research-information system and media monitoring with the database Factiva.

As far as the pandemic allows, the IZ's collection and premises can also be used by external scholars, students, and the general public. At the Institute's main building in Hamburg (Neuer Jungfernstieg 21), the IZ provides GIGA scholars and external users with up-to-date library space and equipment.









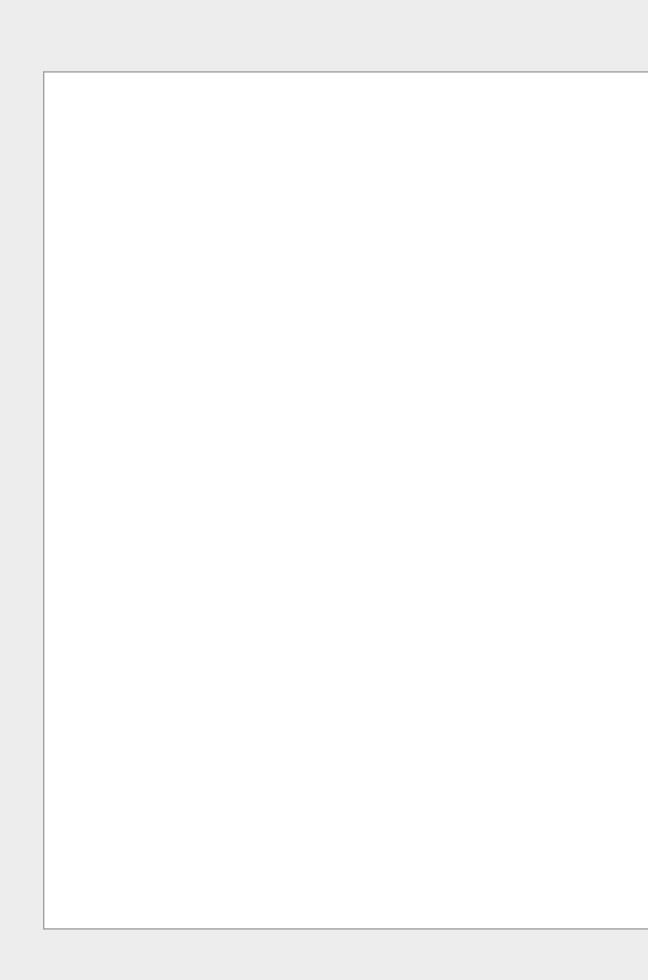
### SUPPORTING RESEARCH



The IZ assists GIGA researchers in all phases of the research cycle so that they are fully supported in the face of increasing demands. Open Access publishing and – whenever possible – the publication of research data contributes to making the GIGA's research findings more visible, findable, and accessible. The publication of research data –

in 2021, there were 53 data sets documented in the metadata database – also contributes to improving the transparency and reproducibility of research. It enables reuse, and fosters expanded opportunities for collaboration.

www.giga-hamburg.de/en/research-and-transfer/information-centre



6	
ANNEX	
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## THIRD PARTY-FUNDED PROJECTS

# RESEARCH PROGRAMME 1: ACCOUNTABILITY AND PARTICIPATION

Democracy and Games: Analog and Digital Game-Based-Learning Tools for Youth Work (DEMOGAMES) (Saskia Ruth-Lovell, Sabine Kurtenbach)

EU Erasmus+, 2019-2022

Democratic Institutions in the Global South (DEMINGS) (Mariana Llanos)

Leibniz Competition, Leibniz Programme for Women Professors, 2021–2026

Do Diasporas Contribute to the Persistence of Authoritarian Rule? Responses of Eritrean Citizens Abroad to Transnational Governance (Nicole Hirt)

DEG 2018–2021

Digital Surveillance and Its Chilling Effect on Journalists: Finding Strategies and Solutions to Safely Seek and Share Information Online (Sangeeta Mahapatra)

Mozilla Foundation, 2021–2022

Europe-Cuba Forum Network (Bert Hoffmann, Laurence Whitehead)

EU Jean Monnet Network; lead: CIDOB Barcelona, 2018-2022

German-Latin American Centre of Infection & Epidemiology Research and Training (GLACIER) (Bert Hoffmann)

DAAD, lead: Martin Luther University Halle-Wittenberg, Charité Berlin, 2021–2025

Justifying Repression in Authoritarian Regimes of the Arab World: Official Framing and Target Audiences (Maria Josua)

DFG, 2019-2023

Latin American Courts Going Public: Institutional Innovations for Social Participation in the Judicial Decision-Making Process (Mariana Llanos, Cordula Tibi Weber)
DFG, 2018–2022

Merian Center for Advanced Studies in the Maghreb (MECAM) (André Bank, Thomas Richter)

BMBF; lead: University of Marburg, 2020-2023

Populism in Southeast Asia (Andreas Ufen)

EU H2020; lead: Ecole Française d'Extrême Orient, 2017–2021 (in collaboration with Research Programme 4)

Presidents Who Die Too Soon and Presidents Who Live Too Long: Term Limits and Succession in Presidential and Semi-Presidential Regimes (Mariana Llanos)

DAAD-PPP, 2019–2021

Protest and State Reaction: An Intra-Regional Comparison (Indonesia, Vietnam) (Jörg Wischermann)

EU H2020; lead: Ecole Française d'Extrême Orient, 2017–2021 (in collaboration with Research Programme 4)

The Belt and Road Initiative and Southeast Asian Countries: The Debt Curse Perspective; WP "Political Implications of the BRI in Malaysia" (Andreas Ufen)

Japan Society for the Promotion of Science, lead: Kyoto University, 2021–2023

The (In)stability of Presidential Term Limits in Africa and Latin America: Assessing the Impact of Tenure-Rule Reforms on the Political Regime (Mariana Llanos, Charlotte Heyl) DFG, 2017–2021

The Return of Race-Based Inequalities in Contemporary Cuba: Analysing the Impact of Past Migration and Current Reforms (Bert Hoffman, Katrin Hansig)
DFG, 2016–2021

The Termination of International Sanctions: Causes, Processes, and Domestic Consequences (Julia Grauvogel, Hana Attia)

DFG, 2018–2021 (in collaboration with Research Programme 2)

Transnational Lives in the Shadow of Repression: Diaspora Youth and the Struggle for Democracy (Nicole Hirt)

Swedish Research Council; lead: University of Gothenburg, 2020–2023

(Un-)healthy Civil-Military Relations? Militarization of State Responses to the COVID-19 Pandemic in Latin America and Asia-Pacific (Aurel Croissant, David Kuehn, David Pion-Berlin)

Volkswagen Foundation Covid-19 Call, lead: Heidelberg University, 2021–2022

# RESEARCH PROGRAMME 2: PEACE AND SECURITY

A Different Kind of Refugee: A Case Study of the UAE's Syrian Migrants Seeking Citizenship in Europe (idil Akıncı)

AvH Postdoctoral Fellowship, 2021

Climate, Climatic Change, and Society (CliCCS) (Amrita Narlikar, Miriam Prys-Hansen, Christian von Soest)

DFG Cluster of Excellence; lead: University of Hamburg, 2019–2025 (in collaboration with Research Programme 4)

Context Matters – Country-Specific Politico-Economic Analyses, Conflict and Crises Potentials, as well as Global and Regional Trends (Sabine Kurtenbach)

BMZ, 2019-2022 (in collaboration with all other Research Programmes)

Corona Conflicts? Analysing Effects of the COVID-19 Pandemic on Violent Conflict in Africa (Mattias Basedau)

Volkswagen Foundation Covid-19 Call, 2021-2022

COVID-19 and the Dynamics of (Post-)War Violence: Lessons from Colombia and Syria (Sabine Kurtenbach, André Bank)

Volkswagen Foundation Covid-19 Call, 2021–2022

Democratizing Security in Turbulent Times (Sabine Kurtenbach)

with IFSH, HSU, and UHH; Landesforschungsförderung Hamburg, 2021–2023

Digital Diplomacy and Statecraft (DigiTraL Diplo): Leg I of GIGA Digital Transformation Lab (DigiTraL) – A Global Approach to Digitalisation (Amrita Narlikar, Jann Lay, Christian von Soest)

FFO unilateral funding, 2021-2024 (in collaboration with Research Programmes 3 and 4)

Diversification and Economic Resilience of Iran (Sara Bazoobandi, Maria Josua) EU Marie Curie IF, 2021–2023

Freedom and Development? Religious Actors, Freedom of Belief and Religion, and Sustainable Development (Matthias Basedau)

BMZ, 2020-2023

Large-Scale Land Acquisitions, Rural Change, and Social Conflict (Jann Lay, Rebecca Lohmann, Daniel Geissel)

BMZ, 2019-2021 (in collaboration with Research Programme 3)

Mediating Islam in the Digital Age (MIDA) (Jens Heibach, Thijl Sunier)

EU H2020 ITN; lead: Centre for Near and Middle Eastern Studies, University of Marburg, 2019–2023

Migration Governance and Asylum Crises (MAGYC) (Christiane Fröhlich, André Bank, Nicole Hirt, Lea Müller-Funk)

EU H2020; lead: Hugo Observatory, University of Liège, 2018-2023

Monitoring System und Transfer Platform Radicalisation (MOTRA) (Thomas Richter, Selman Almohamad)

BMBF; lead: BKA, 2019-2024

PEACEptions (Sabine Kurtenbach)

FES, 2021-2023

Policy Tracker on Gender-Based Violence (Merike Blofield, Asma Khalifa) BMZ/GIGA, 2020–2022

Population Growth and Security in Africa (Matthias Basedau, Eckart Woertz, Hana Attia, Charlotte Heyl)

FFO, 2020-2021

Rebellion, Elections and the Pandemic in the Central African Republic: COVID-19 and Its Impact on Deep-Seated Patterns of Governance (Tim Glawion)

DFG Focus Call Global South, 2021-2022

Religion for Peace: Identifying Conditions and Mechanisms of Interfaith Peace (Matthias Basedau)

DFG, 2020-2023

SAGE-Centre (Sustainable Adaptation to Global Change in the Middle East) (Christiane Fröhlich)

DAAD, lead: University of Tübingen, 2021-2025

Syria's "Post-War" Landscape (Marika Sosnowski)

Thyssen Fellowship, 2020-2022

Teaching Immigration in European Schools (Lea Müller-Funk)

National Geographic; lead: International Migration Institute, 2020-2022

The Monopoly on the Use of Force and Paradoxes of Security – Discrepancies between Objective and Subjective Security in the Central African Republic and Lebanon (Tim Glawion)

DFG, 2020-2023

The Termination of International Sanctions: Causes, Processes, and Domestic Consequences (Julia Grauvogel, Hana Attia)

DFG, 2018-2021 (in collaboration with Research Programme 1)

World Order Narratives of the Global South (Eckart Woertz, Amrita Narlikar)

Universität Hamburg; BMBF, 2021–2024 (in collaboration with Research Programme 4)

# RESEARCH PROGRAMME 3: GLOBALISATION AND DEVELOPMENT

COVID-19 in Latin America: The Role of Social Protections for Households with Children (Merike Blofield, Juliana Martínez Franzoni)

DFG Focus Call Global South, 2021-2022

De-Carbonising Economic Development in Sub-Saharan Africa (Jann Lay, Hannes Greve, Sebastian Renner)

BMBF; lead: Mercator Research Institute on Global Commons and Climate Change, 2019-2022

Digital Diplomacy and Statecraft (DigiTraL Diplo): Leg I of GIGA Digital Transformation Lab (DigiTraL) – A Global Approach to Digitalisation (Amrita Narlikar, Jann Lay, Christian von Soest)

FFO unilateral funding, 2021-2024 (in collaboration with Research Programmes 2 and 4)

Experimental Research on Local Governance in Burkina Faso (REGLAB) (Malte Lierl) World Bank, Government of Burkina Faso, Silicon Valley Community Foundation, 2014–2022

Integrated Analyses of Policies for Sustainable Rural Economies (Jann Lay) DFG, CRC 990, 2020–2023

Investigating Supply & Demand Side Factors of a Massive Covid-19 Vaccination Drive: Experimental Evidence from Indonesia (Renate Hartwig, Jan Priebe)
DFG Focus Call Global South, 2021–2022

Land Matrix, Phase III (Jann Lay, Insa Flachsbarth) BMZ. 2019–2023

Landscape-Level Assessment of Ecological and Socioeconomic Functions of Rainforest Transformation Systems in Sumatra (Indonesia) (Jann Lay)
DFG, CRC 990, 2020–2023

Large-Scale Land Acquisitions, Rural Change, and Social Conflict (Jann Lay, Rebecca Lohmann, Daniel Geissel)

BMZ, 2019–2021 (in collaboration with Research Programme 2)

Leibniz Research Alliance "INFECTIONS in an Urbanizing World - Humans, Animals, Environments (InfUrb)" (Renate Hartwig)

Leibniz Association, lead: Forschungszentrum Borstel, 2021–2025

Maria Sibylla Merian Institute for Advanced Studies in Africa (MIASA) (Jann Lay, Julia Grauvogel)

BMBF; lead: University of Freiburg, 2020-2026

Performance Monitoring Asia Pacific Research Area (APRA) (Margot Schüller, Iris Wieczorek, Marcus Conlé)

BMBF; lead: Fraunhofer ISI, 2018–2021 (in collaboration with Research Programme 4)

Research Network "Sustainable Global Supply Chains" (Jann Lay, Stefan Pahl) BMZ; lead: DIE, 2020–2022

Scientific Support to and Research on the Impacts of the Special Initiative Education and Employment (RéUsSITE) (Jann Lay, Tabea Lakemann, Jan Priebe)

BMZ Special Initiative on Training and Employment, 2019–2023

Teacher Pay for Performance Schemes and Student Learning Outcomes in Indonesia (Jan Priebe)

World Bank, 2018-2022

# RESEARCH PROGRAMME 4: GLOBAL ORDERS AND FOREIGN POLICIES

Climate, Climatic Change, and Society (CliCCS) (Amrita Narlikar, Miriam Prys-Hansen, Christian von Soest)

DFG Cluster of Excellence; lead: University of Hamburg, 2019–2025 (in collaboration with Research Programme 2)

Competing Regional Integrations in Southeast Asia: The Search for Identity and Legitimacy (CRISEA) (Andreas Ufen, Marco Bünte, Janina Pawelz, Jörg Wischermann) EU H2020: lead: Ecole Francaise d'Extrême Orient, 2017–2021

Contestations of the Liberal Script (SCRIPTS) (Amrita Narlikar)

DFG, Excellence Cluster; lead: FU Berlin, 2019-2025

Control of Infections: Perception of Risks and Political Agenda for Providing a Public Good (Wolfgang Hein, Julian Eckl, Anne Paschke)

Leibniz Research Alliance "Infections '21"; lead: FZB, 2016-2021

Digital Diplomacy and Statecraft (DigiTraL Diplo): Leg I of GIGA Digital Transformation Lab (DigiTraL) – A Global Approach to Digitalisation (Amrita Narlikar, Jann Lay, Christian von Soest)

FFO unilateral funding, 2021–2024 (in collaboration with Research Programmes 2 and 3)

Drifting Apart: International Institutions in Crisis and the Management of Dissociation Processes (DRIFT) (Sinan Chu, Heike Holbig)

Leibniz Competition; lead: HSFK, 2019-2022

Ensuring Safe, Transparent and Mutually Beneficial Collaboration with China at Analytical Research Infrastructures (WIKOOP-INFRA) (Margot Schüller, Marcus Conlé) BMBF, lead: DESY, 2021–2023

Environmental Crisis – Crisis Environments: The Contestation and Governance of Environmental Changes as Crisis (CrisEn) (Miriam Prys-Hansen)

Leibniz Research Network, lead: HSFK, 2021-2026

Explaining Middle-Power Engagement in External Regions: A Comparison of Iranian, Saudi, and Turkish Sub-Saharan Africa Policies (Jens Heibach)
DFG, 2022–2024

Globalisation, Europe, and Multilateralism: Sophistication of the Transnational Order, Networks, and European Strategies (GEM-STONES) (Sandra Destradi, Dominic Giese, Jessica Gomes, Tobias Lenz, Detlef Nolte, Maren Wagner, Miriam Prys-Hansen) EU H2020 ITN; lead: Université libre de Bruxelles, 2016–2021

Leader Types and (Liberal?) Narratives of the COVID-19 Pandemic (Amrita Narlikar) with Hertie School; DFG/SCRIPTS, 2021–2022

Legitimate Multipolarity? (Johannes Plagemann, Amrita Narlikar, Heike Holbig, Sinan Chu)

DFG, 2018-2022

Performance Monitoring Asia Pacific Research Area (APRA) (Margot Schüller, Iris Wieczorek, Marcus Conlé)

BMBF; lead: Fraunhofer ISI, 2018–2021 (in collaboration with Research Programme 3)

**Populism and Foreign Policy (Johannes Plagemann, Sandra Destradi)** DFG, 2021–2024

Recalibrating China Policy: Australia and New Zealand in Comparative Perspective (Patrick Köllner)

EU-Australia Leadership Forum, Konrad Adenauer Foundation (Canberra office), 2018–2021

Revisiting 'Regions' in a Changing World Order: The Case of India and the Indo-Pacific (Sharinee Jagtiani)

Thyssen Foundation, 2021-2022

Sources and Consequences of Legitimation Strategies of Regional Organisations (LegRO) (Tobias Lenz, Henning Schmidtke, Niklas Krösche, Swantje Schirmer) Leibniz Competition, 2018–2023

The Challenge to the Challenge: The Belt and Road Initiative's Implications for Liberal Trade and (Digital) Finance and the Response in Other Countries (Amrita Narlikar) with Hertie School; DFG/SCRIPTS, 2020–2024

Transforming Orders: How 'Indo-Pacific' Geopolitics Reconstruct Maritime Space and Alter the Law of the Sea's Territorial Sovereignty and Freedom of the Seas Norms (Christian Wirth)

DFG, 2021-2023

World Order Narratives of the Global South (Eckart Woertz, Amrita Narlikar) with Universität Hamburg; BMBF, 2021–2024 (in collaboration with Research Programme 2)

### **PUBLICATIONS**

### PEER-REVIEWED JOURNAL ARTICLES

### Abdulkader Saleh Mohammad

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### Bank, André, and Jan Busse (eds.)

MENA Political Science Research a Decade after the Arab Uprisings: Facing the Facts on Tremulous Ground

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## Final Chance for the 1.5 Degrees? Expectations for the UN Climate Conference in Glasgow

Speakers: Dr. Michael Brüggemann (University of Hamburg), Dr. Heike Schroeder (University of East Anglia), Jan Klenke (GIGA), Eva Marsland (Fridays for Future)

## The Shadow Pandemic: Policy Efforts Addressing Gender-Based Violence During COVID-19

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## Confronting Colonialism in Germany: Are We Doing Enough?

Speakers: Prof. Dr. Jürgen Zimmerer (University of Hamburg), Prof. Dr. Louis Henri Seukwa (Hamburg University of Applied Sciences), Naita Hishoono (Namibia Institute for Democracy)

### Stretching Boundaries: How Chief Executives Expand their Power

Speakers: Prof. Dr. Christian Göbel (University of Vienna), Prof. Dr. Mariana Llanos (GIGA), Dr. Thomas Richter (GIGA)

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Speakers: Apl. Prof. Jann Lay (GIGA), Constanze von Oppeln (Welthungerhilfe), Berns Komba Lebbie (Land for Life, Sierra Leone)

#### Cyber Sanctions: Increasing Application of the New Instrument

Speakers: Dr. Julia Grauvogel (GIGA), Julia Schuetze (Stiftung Neue Verantwortung), Felix Haala (Federal Foreign Office)

#### How China Is Reshaping Global Economic Governance

Speakers: Prof. Dr. Heike Holbig (GIGA, and Goethe University), Dr. Sinan Chu (GIGA), Dr. Jasper Wieck (Federal Foreign Office)

## Can Freedom of Religion and Belief Promote Sustainable Development? Inclusive Education Systems in Focus

Speakers: Markus Grübel MdB (Federal Government Commissioner for Global Freedom of Religion), Dr. des Amanda tho Seeth (Humboldt-Universität and GIGA), Dr. Hamideh Mohagheghi (Rat der Religionen, and Board of Religions for Peace Germany), Prof. Dr. Matthias Basedau (GIGA)

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Evidence from Kenya and Ethiopia

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### Female Genital Cutting and the Slave Trade

Lecture by Lucia Corno (PhD)

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Improving Citizen-State Relations via Electronic Governance: A Field Experiment in Botswana

Lecture by Dr. Armin von Schiller

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The Formation of Self-Control and Prosociality: Evidence from a Randomized Controlled Trial in Bangladesh

Lecture by Hannah Schildberg-Hörisch

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Hard and Soft Skills in Vocational Training: Experimental Evidence from Columbia Lecture by Felipe Barrera-Osorio (PhD)

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Lecture by Karen Macours (PhD)

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Forytek, Lukas	Prague University of Economics and Business	Prague University of Economics and Business
Herrera, Gioconda	FLACSO Ecuador	self-funded
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Lay, Jann, Apl. Prof. Dr.	Stollenwerk, Eric, Dr.
Lierl, Malte, Dr.	Strüver, Georg, Dr.
Llanos, Mariana, Dr.	Tafese, Tevin
Lohmann, Rebecca	Taş, Hakkı, Dr.
Maldonado, Janaina	Tibi Weber Cordula, Dr.
Miller, Alyssa, Dr.	Ufen, Andreas, Dr.
Pelke, Lars, Dr.	Waterman, Alex, Dr.
Pieper, Johanna	Wieczorek, Iris, Dr.
Plagemann, Johannes, Dr.	Wirth, Christian, Dr.

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Berg, Christine	Dold, Manuel
Bezerra Cardoso, Nathalice	Drews, Ulrike
Brandt, Petra	Geiger, Nora

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Goldstein, Susanne	Preisser, Meenakshi
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Malikova, Jasmina	Watermülder, Heike
Menz, Dirk	Westphal, Susann
Ohlsen, Frank	Wiegmann, Nadine
Powell, James, Dr.	Willers, Wilma

## **DOCTORAL TRAINING**

# DOCTORAL RESEARCHERS (as of 31 December 2021)

Ali, Hager	Köbrich, Julia
Attia, Hana	Konzack, Tom
Bhavthankar, Aniket	Krösche, Niklas
Castro, Rafael	Kryg, Indi-Carolina
Chang, So Young	Lakemann, Tabea
Costa, Pedro	Lohmann, Rebecca
Crawford, Andrew	Lukacs, Nils
De Boni, Lukian	Maia, Jayane
Fanni, Rosanna	Medha
Fietz, Katharina	Mirzaei, Diba
Franco, Clara	Montgomery, Max
Fünfgeld, Anna	Pieper, Johanna
García Pinzón, Viviana	Reder, Désirée
Gedikli, Ardahan Özkan	Rincón, Jorge
Geissel, Daniel	Roewer, Richard
Gomes, Jessica	Saleh, Mona
Greve, Hannes	Schirmer, Swantje
Haasnoot, Cornelis	Schnars, Regina
Hirseland, Aline-Sophia	Soares, Ana
Huber, Christopher	Tafese, Tevin
Jasim, Dastan	Tappe Ortiz, Juliana
Khalifa, Asma	Völker, Siri Kristina
Kirchschlager, Markus	Weidemann, Askan
Klenke, Jan	Zapata Mafla, Ximena

## **DOCTORATES EARNED IN 2021**

Name	Doctoral Thesis	Supervisors
Bandarra, Leonardo	Nuclear Latency and the Participation Puzzle: Constructing the International Non- Proliferation Regime	Tobias Lenz, Detlef Nolte
Hoffmann, Lisa	Social Cohesion under Threat? Evidence from Behavioral Experiments in Sub-Saharan Africa	Jann Lay, Andreas Lange
Leone, Tharcisio	Striving for Educational Improvement: Essays on Intergenerational Mobility and Teacher Bonus Programs in Contemporary Brazil	Jann Lay, Barbara Fritz
María Isabel Rosales Sandoval	States Beyond Borders: A Comparative Study of Central American Sending States and their Emigrant Policy	Detlef Nolte, Anita Engels

## MEMBERSHIPS AND AFFILIATIONS (SELECTION)

Amrita Narlikar	Darwin College, University of Cambridge; Honorary Fellow
Amrita Narlikar	Observer Research Foundation (ORF); non-resident Senior Fellow
Amrita Narlikar	Munich Security Conference (MSC); member of the Distinguished Reflection Group on Transatlantic China Policy
Amrita Narlikar	World Economic Forum; member of the Global Future Council on Frontier Risks and of the Regional Action Group for South Asia
Amrita Narlikar	Finnish Institute of International Affairs; member of the Scientific Advisory Council
Amrita Narlikar	Centre for Geopolitics, University of Cambridge; member of the International Advisory Board
Amrita Narlikar	Research and Information System for Developing Countries; Senior Research Associate
André Bank	Association for Transregional Studies, Comparative Area Studies, and Global Studies (CrossArea); member of the Executive Board
Christiane Fröhlich	German Association for Peace and Conflict Studies (AFK); speaker of the Working Group Science and Practice
Christiane Fröhlich	German Network of Forced Migration Researchers; member of Executive Board
Eckart Woertz	Barcelona Centre for International Affairs (CIDOB), non-resident Senior Research Associate
Eckart Woertz	European Association for Middle Eastern Studies (EURAMES); member of the Council
Eckart Woertz	World Congress of Middle East Studies (WOCMES), member of Advisory Board
Julia Grauvogel	Association for African Studies in Germany (VAD); member of the Board (Hauptausschuss)
Karsten Giese	Specialised Information Service Asia (FID Asia, CrossAsia); member of the Scientific Advisory Board
Mariana Llanos	European-Latin American Network on Governance for Development (RedGob); Pro-Tempore President

Matthias Basedau	Bertha-von-Suttner-Studienwerk; member of the the Academic Advisory Board
Matthias Basedau	Peace Research Institute Frankfurt (PRIF); member of the Scientific Advisory Board
Merike Blofield	American Political Science Association; co-president of the Women, Gender and Politics section and co-programme chair of the Comparative Politics section
Merike Blofield	Business Association for Latin America (LAV), member of the Executive Committee
Merike Blofield	Center for the Implementation of Public Policies for Equity and Growth (CIPPEC); member of the Advisory Board on Social Security Reform in Argentina
Merike Blofield	Lancet Commission on Gender-Based Violence and Maltreatment of Young People; member of the Scientific Advisory Board and of the Secretariat
Merike Blofield	Latin American Studies Association; co-programme chair of the Inequality and Politics track
Miriam Prys-Hansen	Hamburg Foundation Asia Bridge; member of the Board of Trustees
Miriam Prys-Hansen	International Studies Association; member of the Environmental Studies Section's Sprout Prize Committee
Patrick Köllner	Asia-Pacific Business Association (OAV); member of the Advisory Board
Patrick Köllner	East Asia Institute, University Duisburg-Essen; member of the Board of Trustees
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Sabine Kurtenbach	German Association of Latin American Studies (ADLAF); Vice-President
Sabine Kurtenbach	German Foundation for Peace Research (DSF); chairpersor of the Academic Advisory Board

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German Association for Asian Studies (DGA); hosting of secretariat

German Association of Latin American Studies (ADLAF)

German Middle East Studies Association for Contemporary Research and Documentation (DAVO)

Processes of International Negotiation (PIN); hosting of secretariat

## FINANCIAL STATEMENT

## FINANCIAL STATEMENT I JANUARY-31 DECEMBER 2021



Dr. Peter Peetz

The GIGA is thankful for the institutional support provided by the Free and Hanseatic City of Hamburg (Ministry of Science, Research, Equalities and Districts), the other federal states (Länder), and the Federal Republic of Germany (Federal Foreign Office). The GIGA would also like to express its gratitude to all those institutions that have enhanced the GIGA's research activities by granting project funding. The GIGA strives to maintain a high level of third party-funded project grants. In 2021 these funds totalled EUR 4,189,905 and accounted for 30 per cent of total revenues.

On 1 January 2017 the GIGA's guidelines on investing the foundation's capital assets came into effect. Since then, investment is guided by a long-term investment strategy which aims at security, real preservation, ethical responsibility, and a return on the foundation's assets. The Guidelines for the Investment of the GIGA's Foundation Assets – including a mandatory annex of social, ecological, and other ethical criteria – can be downloaded from the GIGA website:

www.giga-hamburg.de/en/the-giga/#Documents

## Revenues (in EUR)

Total revenues		13,770,446
5.	Other operating income	25,759
4.	Amortisation of special items (reversal of accruals, etc.)	1,647,297
3.	Investment income from capital assets	17,985
2.	Third-party funding for projects	4,189,905
1.	Institutional support (federal and Länder)	7,889,500

## Expenses (in EUR)

Total expenses		13,770,446
3.	Allocation to special items (accruals, etc.)	2,399,276
2.	Service expenses; material and operating expenses	2,597,514
1.	Staff expenditures	8,773,656

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## **BOARD OF TRUSTEES**

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## **IMPRINT**

## German Institute for Global and Area Studies (GIGA) Leibniz-Institut für Globale und Regionale Studien

The German Institute for Global and Area Studies (GIGA) is an independent social-science research institute based in Hamburg. It analyses political, social, and economic developments in Africa, Asia, Latin America, and the Middle East and compares issues of accountability and participation, peace and security, globalisation and development, and global orders and foreign policies across world regions. The GIGA is committed to scholar-ship that is global in content, structure, and reach. It combines in-depth area expertise and interdisciplinary comparison. Its unique approach provides for impactful new insights and allows the institute to bring original value-added to the field. Research-based policy advice and knowledge transfer to the wider public are essential elements of its mandate.

The GIGA operates the largest non-university information centre for area and comparative area studies in Germany and maintains a liaison office in Berlin. The institute has 150 staff members and belongs to the Leibniz Association. It is funded by the German Federal Foreign Office, the Hamburg Ministry of Science, Research, Equalities and Districts and the other federal states. It also acquires a substantial amount of competitive third-party funding.

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The GIGA Annual Report is available at the GIGA website, where detailed information about the institute's activities and research programmes can also be found. www.giga-hamburg.de/en/the-giga

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